V. National Monitoring Mechanisms

In line with the Art. 33 Para. 2. of the CPRD, SPs shall, in accordance with their legal and administrative systems, designate or establish a framework, including one or more Independent Mechanisms to promote, protect and monitor the implementation of the CPRD. Designated or established mechanisms should be in line with the Principles relating to the status and functioning of national institutions for protection and promotion of human rights. Consequently, in the present chapter, I elaborate on the composition, resources and mandate of each designated or newly established Monitoring Mechanism by analysing their compliance with the Paris Principles and the CPRD Committee Guidelines on independent MFs and their participation in the work of the Committee on the Rights of DPs. Furthermore, I, in the final part of this chapter, carry out a comparative evaluation of their efficacy in considering the given legal and political system of Germany, Austria and Denmark.

1. Structure of National Monitoring Mechanisms

1.1 German National Monitoring Body

1.1.1 Legal status and system

The initiative of establishing a National Human Rights Institute in Germany was started by the civil society and a decade ago found support of MPs of the German Parliament,⁹⁷⁷ which approved its establishment in 2000.⁹⁷⁸ As a result, the GIHR was accredited with A(R)-status in 2001.⁹⁷⁹ In 2008, the federal cabinet decided to designate the GIHR as the Monitoring Body under the CPRD, which established a separate Unit, named National Monitoring Body for the CPRD (NMB). It started its work in May of the following year.⁹⁸⁰

⁹⁷⁷ Mertus, 2009: 106 - 128.

⁹⁷⁸ Bt-Drucksache 14/4801.

⁹⁷⁹ A(R) means A-status with reservation; SCA, Report, April 2001.

⁹⁸⁰ Aichele, 2015.

As the reaccreditation report of 2008 shows, the SCA had reservations regarding the legal status, composition and mandate of the GIHR. Most particularly, it expressed concerns that the GIHR is founded by a Motion of the German parliament and stressed the "importance for the GIHR to further broaden its mandate to include complaint handling functions. Evidently, these reservations made the SCA to defer the 2013 reaccreditation request of the GIHR. As a result, the German Federal Parliament adapted the Law on the Legal Status and the Mandate of the German Institute for Human Rights (DIMR-Act) in 2015, 885 with which it acquired its reaccreditation with A-status. Nevertheless, concerns regarding the limited scope of its mandate and local accessibility and multi-level functionality for discharging its duties remained.

In fact, The GIHR has been designated as the "National" Independent Monitoring Mechanism under the CPRD, 988 but since its establishment, it did not have a system that could be fully considerate of particular political set-up of the SP.989 Even after the designation of the GIHR as the NMB it did not "set up local or regional sections to assist it in discharging its functions" as it is suggested by the Paris Principles.990 To this end, the interpretation that the mandate of the GIHR includes the promotion, protection and monitoring of all provisions of the CPRD at all governmental levels991 might be put under question as it is not in the position to discharge its functions properly at the federal, state and local levels.992 The absence of the NMB at the Länder-level might be viewed as problematic especially in considering the federal administrative structure of Germany993 and the

⁹⁸¹ SCA, Report, November 2008, 4.3.

⁹⁸² Ibid.; BT-Drucksache 14/4801.

⁹⁸³ SCA, Report, November 2008, 4.3.

⁹⁸⁴ SCA, Report, November 2013, 3.3.

⁹⁸⁵ Federal Law Gazette 2015 I p. 1194.

⁹⁸⁶ SCA, Report, November 2015, 3.1.

⁹⁸⁷ Ibid.

⁹⁸⁸ Law on the Legal Status and Mandate of the German Institute for Human Rights (Gesetz über die Rechtsstellung und Aufgaben des Deutschen Instituts für Menschenrechte, DIMRG), Bundesgesetzblatt Teil I (Federal Gazette Part I) 2015, p. 1194). §2.4.

⁹⁸⁹ Vienna Declaration and Programme of Action. Para. 36.

⁹⁹⁰ Principles relating to the Status of National Institutions. Methods of operation E.

⁹⁹¹ Aichele, 2015: 85 - 90.

⁹⁹² For details see sections below; for the requirement see, CRPD/C/1/Rev.1, annex. Para. 18.

⁹⁹³ See chapter IV part on Germany.

fact that there are a number of essential policy field's e.g., primary and secondary education that fall under the exclusive legislative and executive powers of federal states.

1.1.2 Pluralist representation and the method of appointment/dismissal

The governing structure of the GIHR is based on three main organs⁹⁹⁴-general assembly, Board of Trustees and the Board of Directors. The latter achieves plural representation by means of election.⁹⁹⁵

The general assembly and Board of Trustees, unlike the staff of the GIHR, 996 include diverse federal level CSOs and DPOs representation, as it is required by the Paris Principles and CPRD Committee. 997 The interests of DPs in the general assembly are represented by Prof. Dr. Theresia Degener, Interessenvertretung Selbstbestimmt Leben in Deutschland e. V., Netzwerk Artikel 3 – Verein für Menschenrechte und Gleichstellung Behinderter e.V., as well as by non-self-help organizations such as Bundesverband evangelische Behindertenhilfe e. V. and Caritas Behindertenhilfe und Psychiatry e.V. 998

The Board of Trustees is composed of 18 members with voting rights and 9 members without.⁹⁹⁹ Members with voting rights include 3 members of the Human Rights Forum, 2 members of the federal parliament's Committee on Human Rights and Humanitarian Aid, 3 representatives of scientific institutions with a connection to human rights and 3 civil society representatives nominated by the parties of the parliament, as well as a representative of the German Disability Council and 6 members of the

⁹⁹⁴ Satzung des Vereins Deutsches Institut für Menschenrechte (revidierte Fassung vom 29.10.2020), §7.

⁹⁹⁵ Ibid., §12; For varying types of ensuring pluralism of governing organs, see SCA, General Observations, 2.1.

⁹⁹⁶ Second-level-interview DE/A 1, on 31.01.2016, Q. 9; see also statement of the SCA on GIHR in its November 2008 report, 4.3; for the requirements see SCA, General Observations, 4.1; for the actual list of NMB employees refer to GIHRwebpage on its Team | Deutsches Institut für Menschenrechte at: https://www.institut-fuer-men schenrechte.de/das-institut/team (Last accessed on 01.07.2022).

⁹⁹⁷ Principles relating to the Status of National Institutions (Composition); CPRD Committee, General Comment No. 7, Paras. 37 and 38; CRPD/C/1/Rev.1, annex, Paras. 2 and 20.

⁹⁹⁸ For the full list of members see: https://www.institut-fuer-menschenrechte.de/das-institut/gremien/mitglieder-des-vereins (Last accessed on 01.07.2022).

⁹⁹⁹ Satzung des Vereins Deutsches Institut für Menschenrechte, §24.

general assembly. To this end, 8 out of 18 members with voting rights of the main deciding organ, namely the Board of Trustees come from or are appointed by the federal parliament, whereas it is quorate if at least half of its members with voting rights are present.¹⁰⁰⁰ While it might be argued that the Federal Parliament is the most legitimate organ to nominate the members, the number of representation definitely goes over the allowed limit of representation from the state organs, 1001 especially if we take into account that the Board also includes 8 representatives of federal government without voting rights. 1002 Already in November 2008, the SCA in its report pointed out that Art. 24(1) of GIHR's statute indicates that two of the GIHR's Trustees must be members of the German federal parliament's Committee on Human Rights and Humanitarian Aid. The statute does not exclude these representatives from voting on decisions made by the Board of Trustees, 1003 whereas "government representatives and members of parliament should not be members of, nor participate in, the decision-making of organs of an NHRI. Their membership of, and participation in, the decision-making body of the NHRI has the potential to impact on both the real and perceived independence of the NHRI". 1004 Therefore, the CPRD Committee is critical about the participation of executive actors in the MFs. 1005 However, their involvement in advisory bodies might be beneficial for building a bridge between the NMB and the executive organs of the government.1006

Notwithstanding the fact that the GIHR as the 'NHRI' should discharge its functions at all governmental levels, both the list of members to its governing organs and Länder-level DPO¹⁰⁰⁷ representatives revealed that despite the requirement to ensure the full involvement and meaningful participation of DPs and their representative organizations in all areas of the MF work and in all stages of the monitoring processes, ¹⁰⁰⁸ neither the

¹⁰⁰⁰ Ibid., §27.2.

¹⁰⁰¹ SCA, General Observations, 1.9 and 2.5.

¹⁰⁰² For the full list of Kuratorium members see: https://www.institut-fuer-menschenr echte.de/das-institut/gremien/kuratorium (Last accessed on 01.07.2022).

¹⁰⁰³ SCA, Report, November 2008, 4.3.

¹⁰⁰⁴ SCA, General Observations, 1.9; See also Murray, 2007.

¹⁰⁰⁵ CRPD/C/1/Rev.1, annex. Paras. 9 and 22.

¹⁰⁰⁶ Beco/Murray, 2014.

¹⁰⁰⁷ For more see chapter VI.

¹⁰⁰⁸ CRPD/C/1/Rev.l, annex. Para. 20; See also CPRD Committee, General Comment No. 7. Paras. 39 and 94j.

General Assembly¹⁰⁰⁹ nor the Board of Trustees¹⁰¹⁰ include Länder-level DPO representatives, whereas a member of the Federal Council is represented (without voting rights in the Board of Trustees).¹⁰¹¹ As a result, the Länder-level DPOs remain out of MF as their involvement is not ensured even through their umbrella organizations,¹⁰¹² whereas the Länder-level DPOs inclusion and participation is indispensable, especially in view of exclusive legislative powers of federal states and the federal administrative structure of Germany.¹⁰¹³

The appointment of the members to the main governing organs of the GIHR takes place through a democratic process. Detailed rules of their dismissal, instead, can be found neither in the bylaws of the GIHR or in the Law on the Legal Status and the Mandate of the German Institute for Human Rights, Which might make possible the forced resignation of its uncomfortable leaders.

While the appointment of the GIHR governing members is clearly regulated, the appointment procedure of the NMB department head is not even mentioned in the GIHR regulations, whereas the CPRD Committee underlines that the members of the MFs should be appointed in a public, democratic, transparent and participatory manner, which should, preferably, be approved by the parliament upon the nomination of the civil

¹⁰⁰⁹ For the full list of members see the GIHR webpage on members at: https://www.i nstitut-fuer-menschenrechte.de/das-institut/gremien/mitglieder-des-vereins (last accessed on 01.07.2022).

¹⁰¹⁰ Satzung des Vereins Deutsches Institut für Menschenrechte. §24.

¹⁰¹¹ Ibid., §24 (2).

¹⁰¹² See Chapter VI Part on Germany.

¹⁰¹³ For more see Chapter IV Part on Germany.

¹⁰¹⁴ Satzung- DIMR, §12 and §23 (1b); For the requirements see the Principles relating to the Status of National Institutions (Composition).

¹⁰¹⁵ See for example Satzung- DIMR, §24 (4).

¹⁰¹⁶ For the requirements see the SCA, General Observations, 2.1.

¹⁰¹⁷ Mertus, 2009: 121-123.

¹⁰¹⁸ CRPD/C/I/Rev.I, annex. Para 15a; According to SCA, General Observations, 1.8, these requirements can be achieved by:

a) Publicizing vacancies broadly;

b) Maximizing the number of potential candidates from a wide range of societal groups;

c) Promoting broad consultation and/or participation in the application, screening, selection and appointment process;

d) Assess applicants on the basis of pre-determined, objective and publicly available criteria.

society.¹⁰¹⁹ As a result, the DPOs get informed about the appointment or resignation of NMB heads only after the decisions have been made,¹⁰²⁰ which might affect its public legitimacy.¹⁰²¹

1.2 Austrian Monitoring Framework

1.1.1 Legal status and system

In order to comply with the requirement of the Art. 33 Para 2 of the Convention, the Federal Disability Act (BBG) has been amended to provide for a Federal Monitoring Mechanism. 1022 As a result, the independent MC for the promotion, protection and monitoring of the implementation of the Convention has been established. The legal anchorage of FMC ensured the required legal status of the committee. 1023 However, composition, set scope of mandate and methods of operation of the FMC was insufficient 1024 for getting any status accreditation of the Paris Principles. Most particularly, it was assigned to the Federal Disability Council (Bundesbehindertenbeirat) and located in the Federal Ministry of Labour, Social Affairs and Consumer Protection (BMASK). This has been persistently criticized both by the FMC members 1025 and the CPRD Committee. 1026

In 2017, the federal government reamended the Federal Disability Act to allow the establishment of a private non-profit legal entity to manage the organization of the MC (Verein zur Unterstützung des Unabhängigen Monitoringausschusses). However, the MC continues to be assigned to the BMASK despite the explicit recommendation of the CPRD Committee

¹⁰¹⁹ Carver, 2000: 14.

¹⁰²⁰ Miles-Paul, 2020a; Miles-Paul, 2020b.

¹⁰²¹ Carver, 2005.

¹⁰²² BGBl. I No. 109/2008.

¹⁰²³ SCA, General Observations, 1.1; see also chapter II the part on National Human Rights Institutions.

¹⁰²⁴ See below; for the requirements see SCA, General Observations, 1.2, 1.7 – 1.10.

¹⁰²⁵ See the minutes of the Monitoring Committee meeting in the period of 2008 to 2016. Available at: https://www.monitoringausschuss.at/protokolle/. (Last accessed on 01.07.2022).

¹⁰²⁶ CRPD Committee, Concluding observations on the initial report of Austria, Para. 52 and 53.

¹⁰²⁷ The Federal Disability Act (BBG)-BGBl. I Nr. 155/2017, §131 (1).

to ensure the full independence of the MC in accordance with the Paris Principles. 1028

Some years after the CPRD ratification, almost all provincial governments amended their disability laws to establish MCs. 1029 In 2012, for example, the Tyrolean Anti-Discrimination Act (Tiroler Antidiskriminierungsgesetz 2005) has been amended to task the office of the Anti-discrimination Commissioner with the responsibility of monitoring of the CPRD. 1030 As a result, a new MC has been built. With the 2017 amendment of BBG, the establishment of MCs in the policy fields falling under the legislative power of the federation, became obligatory for the provinces.¹⁰³¹ With this, Austria intended to implement the requirements of both the CPRD Committee and the Paris Principles of establishing Monitoring Mechanisms, according to which, within the framework of its operation, "(...) local or regional sections should be set up to assist it in discharging its functions". 1032 Nonetheless, the provincial governments in designating MCs did not only opt for varying structural arrangements, 1033 but also did not consider the recommendations of the CPRD Committee. 1034 As a result, the established MCs have legal status, but their composition, infrastructure, set scope of mandate and methods of operation is not sufficient for acting as an independent or autonomous institution. 1035

¹⁰²⁸ BBG, §13g (1); concluding observations on the initial report of Austria, Para. 52 and 53.

¹⁰²⁹ Federal Monitoring Committee, CPRD Report, 2018: Article 33 (2); see also Schulze, 2013.

¹⁰³⁰ LGBl. Nr. 150/2012.

¹⁰³¹ BGBl. I Nr. 155/2017.

¹⁰³² Principles relating to the Status of National Institutions. Methods of operation E; Guidelines on independent MFs and their participation in the work of the Committee on the Rights of DPs, Para. 18.

¹⁰³³ FMC, 2018, Article 33.

¹⁰³⁴ CPRD Committee, Concluding Observations on the Initial Report of Austria, Paras. 53 and 54.

¹⁰³⁵ For details see sections below; E/CN.4/1992/43, 16 December 1991. Para. 29; See also the statement of the CPRD Committee, CRPD/C/1/Rev.1, annex. Para. 15.

1.2.2 Pluralist representation and the method of appointment/dismissal

1.2.2.1 Federal Monitoring Committee

Since its establishment, the FMC was composed in consideration of the rules of plural representation. 1036 It has eight members with voting rights. 1037 These include two representatives from different CSOs, a representative from an academic institution and four representatives from DPOs. The representatives of DPOs are proposed by the organization of the Austrian society of Rehabilitation. ¹⁰³⁸ The privileged disabled members of the Committee are proud of this arrangement. 1039 However, there are no set rules for the selection and nomination of the Committee members by the Austrian Society of Rehabilitation. This makes the plurality of the FMC questionable, 1040 especially in considering that the required respect for the diversity of DPs and their accessibility needs, 1041 proves not to be sufficiently ensured: an interviewee stated that the membership of deaf persons to the Committee fails due to missing readiness to cover the high costs for sign language translation.¹⁰⁴² The membership of learning disabled, albeit as a stand-in member, became possible only with the fourth election period of the FMC. 1043 The representation of non-Austrian/EEA Citizens has been banned by the 2017 BBG amendment. 1044 In fact, the CPRD made it clear that the rights of disabled non-citizens shall not only be considered within the domestic law, 1045 but their participation in monitoring and decision-making processes has to be ensured. 1046 This becomes even more

¹⁰³⁶ Principles relating to the Status of National Institutions (Composition); CR-PD/C/1/Rev.1, annex. Para. 20.

¹⁰³⁷ BBG, §13g (1).

¹⁰³⁸ BBG, §13j (1).

¹⁰³⁹ Third-level-interview AT/A 3, on 25.05.2016, Q. 6; Third-level-interview AT/A 1, on 23.05.2016, Qs. 6 and 12.

¹⁰⁴⁰ Schulze, 2013 (Membership and Composition).

¹⁰⁴¹ CRPD/C/1/Rev.1, annex. Paras. 20, 23c; CPRD Committee, General Comment No. 7, Paras. 36 – 39.

¹⁰⁴² Third-level-interview AT/A 2, on 23.05.2016, Q. 13.

¹⁰⁴³ The Committee first convened on 10 December 2008.

¹⁰⁴⁴ BBG, §13j (3).

¹⁰⁴⁵ CPRD Committee, Concluding observations on the combined second and third periodic reports of Australia, Para. 35; CPRD Committee, Concluding Observations on the Initial Report of Germany, Paras. 15, 17c and 39.

¹⁰⁴⁶ CPRD Committee, General Comment No. 7, Para. 50; see also SCA, General Observations, 1.5.

important if we consider the large number of disabled and traumatised person's immigration to western EU Member States.

Besides, The FMC includes representatives from appropriate Federal Ministries with advisory rights. This could be seen as a positive cooperation opportunity, 1048 if not the additional appointment and dismissal powers of the Minister of Labour, Social Affairs and Consumer Protection 1049 that impedes the independence of the MCs. To this end, The FMC cannot be seen as a distant body that acts as a bridge or mediate between government and non-government entities – a partner – trusted yet separate from both. 1051

1.2.2.2 Provincial Monitoring Committeess

The structural inconsistency of provincial MCs is more visible: ¹⁰⁵² The TyroleanMonitoring Committee (TMC), for example, took considerable efforts to ensure the diverse representation of DPs. ¹⁰⁵³ However, it, in addition to infrastructural subordination, is chaired by the Anti-discrimination Commissioner, who is appointed by the Tyrolean provincial Government. ¹⁰⁵⁴ She nominates other members of the MC that are approved by the state government. ¹⁰⁵⁵ The latter can also dismiss the members of the MC. ¹⁰⁵⁶ These include an academic expert, a human rights expert and five DPs. ¹⁰⁵⁷ Hereby the self-representation should be thought. ¹⁰⁵⁸ This means that they should not represent a DPO, but the criteria of the selection are not transparent. Accordingly, the access of more vulnerable groups of DPs to the MC

¹⁰⁴⁷ BBG, §13g (1).

¹⁰⁴⁸ Beco/Murray, 2014.

¹⁰⁴⁹ BBG, §13j (1 and 8).

¹⁰⁵⁰ SCA, General Observations, 1.9; See also SCA, General Observations, 2.3 that states: "government members should not have decision-making (...) capacity"; CRPD/C/1/Rev.l, annex). Paras. 9 and 22.

¹⁰⁵¹ E/CN.4/1992/43, 16 December 1991. Paras. 111 – 128; See also, Smith, 2006; SCA, General Observations, 1.9; Beco, 2007; Beco/Murray, 2014.

¹⁰⁵² Federal Monitoring Committee, CPRD Report, 2018: Article 33 (2).

¹⁰⁵³ Second-level-interview AT/B-T 1, on 30.10.2015, Q. 9.

¹⁰⁵⁴ Tiroler Antidiskriminierungsgesetz 2005, §15.(1).

¹⁰⁵⁵ Ibid., §16a (3).

¹⁰⁵⁶ Ibid., §16a (7c).

¹⁰⁵⁷ Ibid., §16 A (2).

¹⁰⁵⁸ Ibid., §16a (3).

might be denied, which would hinder the broad and diverse participation of DPs.¹⁰⁵⁹

1.3 Danish Monitoring Framework

1.3.1 Legal status and System

Denmark was long among the states that were against the establishment of an internationally recognised Human Rights Institution. Its main argument was that there is no reason for establishing local human rights committees in Denmark since such committees cannot be expected to have any practical significance alongside the judicial system, the parliament, the mechanisms under the European Human Rights Convention, the free press, ¹⁰⁶⁰ and constitutionally stipulated Parliamentary Ombudsman. ¹⁰⁶¹ Later, however, it followed the international trend of establishing NHRIs by setting up the Danish Centre for Human Rights in 1987, ¹⁰⁶² which was renamed the Danish Human Rights Institution (DIHR) in 2002. ¹⁰⁶³

Following the CPRD ratification, Denmark designated a national MF composed of DIHR, Danish Parliamentary Ombudsman and the DDC. 1064 Accordingly, all three bodies have been established by a legal act as it is required by the SCA General Observations 1065 and existed before the ratification of the CPRD. 1066 However, the Danish Parliamentary Ombudsman and the DDC as state bodies should exercise their discretionary powers in such a way that their actions conform to International Law, which is known as the rule of instruction, but they are guided by and based exclusively on

¹⁰⁵⁹ CRPD/C/1/Rev.1, annex, para. 20.

¹⁰⁶⁰ Pohjolainen, 2006; 34 – 39.

¹⁰⁶¹ The office of the Danish Parliamentary Ombudsman was established in 1955 by incorporating it in the amended Danish Constitution of 1953 see Gøtze, 2009; Mørup, 2017.

¹⁰⁶² Parliamentary Act of 5 May 1987.

¹⁰⁶³ Lov nr 411 af 06/06/2002 om etablering af Dansk Center for Internationale Studier og Menneskerettighede.

¹⁰⁶⁴ Parliamentary Decision B15 of 17 December 2010.

¹⁰⁶⁵ SCA, General Observations, 1.1.

¹⁰⁶⁶ Ventegodt Liisberg, 2013.

domestic law¹⁰⁶⁷ and in case of conflict of norms the domestic law prevails over the provisions of non-incorporated treaty such as the CPRD.¹⁰⁶⁸

The DIHR is also a state institution, but after a long and thorny path, it has been recognized as an independent institution: In 2001, it had only B-status¹⁰⁶⁹ and due to domestic existential challenges connected with the government policy of non-tolerance against minorities,¹⁰⁷⁰ was first reaccredited with A-Status in 2007.¹⁰⁷¹ Nevertheless, the SCA noted the financial issues, inadequate composition, including appointment/dismissal discrepancies, and lack of legal mandate ensuring parliamentary accountability.¹⁰⁷² As a result, the parliament amended the law establishing the DIHR, with which the status of the DIHR has been improved,¹⁰⁷³ but the majority of concerns raised by the SCA have not been addressed. Accordingly, its 2017 reaccreditation was deferred to the second SCA session of 2018,¹⁰⁷⁴ where the DIHR was again reaccredited with an A-status with a note that it still has issues concerning its protection and monitoring mandate, as well as the appointment and dismissal regulations.¹⁰⁷⁵

The narrow scope of protection and monitoring mandate of the MF becomes visible especially in assessing its structural configuration across the state: all designated actors of the MF operate at the national level. The DDC cooperates with 98 municipal disability councils, ¹⁰⁷⁶ which are neither a part of the MF nor have the adequate composition, mandate and infrastructure to act as Monitoring Bodies in their jurisdiction. The capacity of the Danish Parliamentary Ombudsman to address issues falling under the responsibility of the municipalities should be in line of the

¹⁰⁶⁷ Harhoff, 1996: 151 – 182; Supreme Court case 52/2010 (dom af 18–10–2011). See also chapter IV part on Denmark.

¹⁰⁶⁸ See for example 'Henvisning af autistisk bam til specialskole ffem for enkeltintegrering i friskole', Ombudsmandens afgorelse af 24. September 2009, j.nr. 2009– 1787–710; see also Björgvinsson, 2015: 89 – 103.

¹⁰⁶⁹ SCA, Report, April 2001.

¹⁰⁷⁰ Mertus, 2009: 14 - 37.

¹⁰⁷¹ SCA, Report, October 2007, 3.3.

¹⁰⁷² Ibid.

¹⁰⁷³ Act on the Danish Institute for Human Rights, Act no. 553 of 18 June 2012. It should be noted that from January 2003 until January 2013, the DIHR was part of the Danish Centre for International Studies and Human Rights. This act reestablished the DIHR as a separate institution.

¹⁰⁷⁴ SCA, Report, November 2017, 3.2.

¹⁰⁷⁵ SCA, Report, October 2018, 3.1; For more see below.

¹⁰⁷⁶ BEK nr 897 af 12/05/2021, Sec. 31 PCS 2 and Sec.35 PCS 2.

special conditions under which these authorities' function, 1077 whereas the DIHR as the only Paris Principle compliant institution has not even a possibility to promote, protect and monitor the implementation of the CPRD at the municipal level. 1078 Unfortunately, this has been neither thematised during the state reporting process nor found consideration by the CPRD Committee in its Concluding Observations on the Initial Report of Denmark. This might be caused by the assumption that Denmark has a central government system and there is no need of explicit mentioning of municipal-level competencies of the designated MF, whereas the high level of local autonomy, 1079 especially in the field of education and fiscal decentralization, 1080 and the unwillingness of the Danish municipalities to adhere to the norms of the International Law regulations, 1081 seriously jeopardise the ability of the MF to discharge its duties under the CPRD, which, in turn, leads to poor or even non-implementation of the CPRD at the municipal-level. 1082

In addition, only DIHR has been assigned as the NHRI of the self-governing Greenland, 1083 whereas there is no such an institution in Faroe Islands, 1084

¹⁰⁷⁷ Act No. 473 of 12 June 1996 concerning the Ombudsman as amended by Consolidated Act No. 556 of 24 June 2005, Consolidated Act No. 502 of 12 June 2009, Consolidated Act No. 568 of 18 June 2012 and Consolidated Act No. 349 of 22 March 2013, Sec. 8.

¹⁰⁷⁸ See the Act no. 553 of 18 June 2012 on the Danish Institute for Human Rights – Denmark's National Human Rights Institution, as amended by Act no. 656 of 12 June 2013; Bylaws of the DIHR (Objectives and responsibilities) as amended on 25 May 2018; Second-level-interview DK/A 2, on 01.12.2016, Q. 5: "No. so it has not but we as an institute have not been very good at promoting human rights at no local levels because we are a state institution and we find it very difficult really to work with all the municipalities. There are 98 and it's really difficult for us (...) we think, work with individual Municipalities but (...) So basically the answer to this question is that we are not monitoring the implementation at the local level, but we are trying to do it better".

¹⁰⁷⁹ Ladner et al. 2016.

¹⁰⁸⁰ Ivanyna and Shah, 2014; Rodden, 2004.

¹⁰⁸¹ See for example Folketingets Ombudsmand, FOB 2005.14 – 1, tilgngelig pä: https://www.ombudsmanden.dk/find/udtalelser/beretningssager/alle_bsager /05-425/#cp-title_(Last accessed on 01.07.2022); See also Andersen, 2016, 6. udgave, S. 50.

¹⁰⁸² See chapter IV part on Denmark.

¹⁰⁸³ Act no. 656/2013; Royal decree no. 393/2014.

¹⁰⁸⁴ Danish Institute for Human Rights, 2014: 4.

1.3.2 Pluralist representation and method of appointment/dismissal

1.3.2.1 Danish Institute of Human Rights

The day-to-day management of the DIHR is in the hand of its director, whose acting capacities are framed by the main decision-making organ of the DIHR, is being selected through a process of public advertisement and appointed by the Board. This means that its pluralist representation has been ensured through the appointment procedures. The dismissal of the director and further members of the DIHR, however, have not been legally regulated. This, in view of the past problems of the DIHR, might be seen as problematic.

The main decision-making body of the DIHR is the Board. Its chairperson is elected from within its members. Overall, it includes a representative of the Human Rights Council of Greenland, a representative of employees of the DIHR and 6 representatives of Danish universities. In this case, however, the bylaw of the DIHR does not require consideration of its special mandates, which means that there is no requirement that at least one member of the university appointees should be disability rights experts holding UN or supranational posts on human rights of DPs, whereas the involvement of such an expert is imperative for ensuring not only CPRD-based work and research orientation of the DIHR but also for helping to establish links with human rights Monitoring Mechanisms.

The representation of civil society is ensured through 6 representatives of the Human Rights Council of the DIHR. 1092 As of 2012, one of the nominated representatives of the Human Rights Council should also be a member suggested by the Danish Disability Organization. 1093 However, the number of members of the Board might be reduced, which would

¹⁰⁸⁵ Bylaws of the DIHR, Sec. 22.

¹⁰⁸⁶ SCA, General Observations, 1.7.

¹⁰⁸⁷ Mertus, 2009: 14 - 37.

¹⁰⁸⁸ Bylaws of the DIHR, Sec.6.

¹⁰⁸⁹ Ibid., Sec.6 and Sec.11.

¹⁰⁹⁰ Ibid., Sec.8.

¹⁰⁹¹ Beco/Murray, 2014.

¹⁰⁹² Bylaws of the DIHR, Sec. 8.1 (1).

¹⁰⁹³ Ibid., Sec. 8.3; Ventegodt Liisberg, 2013.

lead to ceasing of the DPO representation, 1094 thus affecting the positive cooperation between the DIHR and the DPOD. 1095

According to the bylaws of the DIHR, the appointing parties should ensure that the nomination follows the Paris Principles requirements for openness and transparency with a view to maximising the number and diversity of candidates. However, the SCA noted that appointing parties do not have unified selection criteria, which may hinder the Paris Principles compliant selection and nomination process. For instance, the DPOD might nominate a representative to the DIHR Board, but it is not clear how it selects and nominates its representative to the DIHR Board. In any case, it will not represent a non-member, which inhibits other DPOs from being included in the work of the DIHR, whereas the CPRD Committee requires a broad involvement of DPOs in all the processes of the DIHR regardless of the participation of the umbrella organization.

The sufficient acting period of the Board members has been ensured¹⁰⁹⁹, but if there are justified doubts about a member's independence and integrity, the Board might initiate his/her resignation.¹¹⁰⁰ Nevertheless, there is no further clarification on set criteria for independence and integrity, whereas in the interests of clarity and consistency, the DIHR is encouraged to provide greater precision in its bylaws or in another binding administrative guideline on the scope of this ground.¹¹⁰¹

Much more pluralistic representation of civil society and public authorities (with no voting rights) is ensured through the advisory organ of the DIHR- the Council for Human Rights. It is composed of representatives of civil society, including a few disability-related organizations and the DPOD, research institutions, political parties and human rights advocates and institutions, as well as the Danish Parliamentary Ombudsman, the DDC and representatives of the ministries and municipalities. The

¹⁰⁹⁴ Ventegodt Liisberg, 2013; Second-level-interview DK/A 2, on 01.12.2016Q. 6.

¹⁰⁹⁵ Third-level-interview DK/A 1, on 02.12.2016Q. 15.

¹⁰⁹⁶ Bylaws of the DIHR, Sec.10.3.

¹⁰⁹⁷ SCA, Report, November 2017, 3.2 Point 1.

¹⁰⁹⁸ CRPD/C/1/Rev.1, annex, Para. 20; CPRD Committee, General Comment No. 7, Para. 12a.

¹⁰⁹⁹ Bylaws of the DIHR, Sec.8.2.

¹¹⁰⁰ Ibid., Sec.9.

¹¹⁰¹ SCA, Report, October 2018, 2.1 point 3.

¹¹⁰² Bylaws of the DIHR, Sec.14.

¹¹⁰³ Ibid., Sec.15(1).

representatives of public authorities participating in the meetings of the Council do not have a right to vote. 1104 Nevertheless, even here the required broad diversity of DPs has not been ensured. 1105

1.3.2.2 Danish Parliamentary Ombudsman

The ombudsman is elected by the Danish parliament for a legislative period. This might be seen as ensuring the pluralist representation, 1107 if not the missing guaranties for the inclusivity of its staff. 1108

The ombudsman might be dismissed by the Folketing if he ceases to enjoy its confidence. Hereby the Ombudsman's Act does not lay down the concrete actions and circumstances that might lead to dismissal despite the requirement of the Paris Principles to ensure an independent and objective dismissal process, with reasons clearly defined, and not left to the discretion of those appointing the members. This makes the dismissal of uncomfortable ombudsmen visibly easier.

1.3.2.3 Danish Disability Council

The Danish Disability Council (DDC) has been established in 1980.¹¹¹¹ It is structured into two organs, the secretariat and the Advisory Board.¹¹¹² The head of the secretariat is appointed and might be dismissed by the Minister of Social Affairs and Interior.¹¹¹³

¹¹⁰⁴ Ibid., Sec.19.

¹¹⁰⁵ For the list of the members, see the web page of the DIHR at: https://menneskeret. dk/om-os/raadet-menneskerettigheder (Last accessed on 01.07.2022).

¹¹⁰⁶ Act No. 473 of 12 June 1996 concerning the Ombudsman as amended by the Consolidated Act No. 349 of 22 March 2013. Sec.1.

¹¹⁰⁷ SCA, General Observations, 1.7.

¹¹⁰⁸ Act No. 473 of 12 June 1996 concerning the Ombudsman, Sec.26; The Danish Parliamentary Ombudsman, Annual Report 2018, publisht in 2019: 130 – 133; CRPD/C/1/Rev.l, annex). Para. 20.

¹¹⁰⁹ Act No. 473 of 12 June 1996, Sec.2 (3).

¹¹¹⁰ SCA, General Observations, 2.1.

¹¹¹¹ Ventegodt Liisberg, 2013.

¹¹¹² Bekendtgørelse om retssikkerhed og administration på det sociale område- BEK nr 897 af 12/05/2021, Sec. 38 and Sec.40.

¹¹¹³ Ibid., Sec.40.

The Board is composed of 17 members. ¹¹¹⁴ These include the chairperson, 2 representatives of the government, ¹¹¹⁵ representatives of various social forces, municipalities, regions, disability-related researchers and five DPOD nominated representatives. As of December 2015, the DDC had representative members from the organization of persons with autism, organizations of persons with visual impairment, cerebral palsy, brain injury and ADHD. ¹¹¹⁶ Non-DPOD members, as it was in the case of the DIHR, cannot be appointed to the Advisory Board of the DDC. As a result, the door to promotion activities under the CPRD remains firmly closed for other disability organizations.

All members including the chairperson are appointed by the Minister of Social Affairs and Interior. $^{\rm III7}$ This gives serious reasons to doubt its independence. $^{\rm III8}$

As of 2007, the municipal governments also had to establish disability councils. Ill Municipal level councils are partly composed of civil servants and politicians and partly of the representatives of the disability organizations. Ill However, they are neither the part of the National MF, Ill nor have the necessary independence and financial capacity to promote, protect and monitor the rights of DPs. Ill 22

2. Resources of National Monitoring Mechanisms

2.1 German National Monitoring Body

The GIHR, as it is required by the principles relating to the status of national institutions, ¹¹²³ has been provided with resources for performing the tasks assigned to it at the federal level since its establishment. The per-

¹¹¹⁴ Ibid., Sec.38.

¹¹¹⁵ Ibid., Sec.38 PCS. 3; Second-level-interview DK/A 1, on 01.12.2016, Q. 14.

¹¹¹⁶ Second-level-interview DK/A 1, on 01.12.2016, Q. 9.

¹¹¹⁷ BEK nr 897 af 12/05/2021, Sec.38 (1).

¹¹¹⁸ SCA, General Observations, 2.1.

¹¹¹⁹ BEK nr 897 af 12/05/2021, capitel 8; Ventegodt Liisberg, 2013.

¹¹²⁰ BEK nr 897 af 12/05/2021, Sec. 29; Second-level-interview DK/A 1, on 01.12.2016, Q. 15.

¹¹²¹ Second-level-interview DK/A 1, on 01.12. 2016Q.s 8 and 10.

¹¹²² Second-level-interview DK/A 1, on 01.12.2016, Q. 15; Second-level-interview DK/A2, on 01.12.2016, Q. 5.

¹¹²³ Paris Principle B.2; SCA, General Observations, 1.10.

manent funding of the GIHR has been ensured by the DIMR-Act of 2015. However, the SCA noted in its 2015 reaccreditation report that "the GIHR has been entrusted with several new responsibilities", but "no increase in funding has been provided for the (...) newly-mandated tasks".

In fact, after the designation of the GIHR as the NMB under the CPRD in 2009, it got temporary separate annual funding from Federal Ministry of Labour and Social Affairs amounting to EUR 453.000 till December 31 2015. ¹¹²⁴ This covered also the human resources, the number of which grew gradually from 1 to 12: ¹¹²⁵ unlike the members of the GIHR Board of Trustees, ¹¹²⁶ its directors, department's heads and their stuff get remuneration, which helps in avoiding conflict of interests, ensuring stable mandate for the members, regular and appropriate direction for staff and the ongoing and effective fulfilment of the NMB functions. ¹¹²⁷

With the DIMR-Act of 2015 the operation of the CPRD unit of the GIHR has been ensured on a permanent basis through the general institutional funds allocated to the GIHR by the federal government. This caused tangible doubts and insecurity: "we are no longer safe in this form of financing (...) now there is only one general budget (...) and it is an internal question (...) if the extent of our resources will be the same as before. To this end, the NMB does not have a separate budget line over which it has absolute management and control. To this end, it might be assumed,

¹¹²⁴ Second-level-interview DE/A 1, on 31.01.2016, Q. 7.

¹¹²⁵ Two out of 12 employees are responsible for Länder-level projects. For more see: https://www.institut-fuer-menschenrechte.de/das-institut/abteilungen/monitorin g-stelle-un-behindertenrechtskonvention (Last accessed on 01.07.2022).

¹¹²⁶ Satzung-DIMR, §24 (6).

¹¹²⁷ For the requirements see SCA, General Observations, 2.7 – 2.9.

¹¹²⁸ In 2019 the General budget amounted to a total of EUR 2.657 million. See the second and third combined periodic report of Germany (zweiter und dritter Staatenbericht der BRD zum UN-BRK) Para. 36.

¹¹²⁹ As of 2019, the total annual fund allocated to the GIHR amounted to EUR 2.657 million, See Deutscher Bundestag, Drucksache 19/11745, on 18.07.2019. Q. 36.

¹¹³⁰ Second-level-interview DE/A 1, on 31.01.2016, Q. 7. The original reads as follows:

"Wir sind jetzt in dieser Finanzierungsform nicht mehr sicher. Wir sagen, wir wollen nicht schlechter gestellt werden als vorher, innerhalb der Gesamtorganisation, aber es gibt jetzt eben nur noch einen Gesamthaushalt. Es gibt nur noch den Haushalt für das Institut für Menschenrechte. Und das ist eine interne Frage, die zu beantworten ist, ob wir nach wie vor, in diesem Umfang, auf die Mittel zurückgreifen können, wie früher".

¹¹³¹ For the requirements see: SCA, General Observations, 1.10; See also CRPD/C/1/Rev.l, annex. Para. 17.

overall, that the NMB has adequate resources to discharge its federal-level functions in policy fields directly affecting DPs, but it is, evidently, inactive in indirect policy fields e.g., cultural rights.¹¹³²

In addition, the DIMR-Act of 2015 does not provide regulations regarding funding of the NMB to carry out its responsibilities at the Länder-level in all 16 federal states, whereas in view of exclusive legislative and executive powers of these in a number of policy fields e.g., education, accessibility of administration and infrastructure, li33 they might be viewed as the primary actors for ensuring operational functionality of NMB at the state and municipal levels as it is required by the CPRD Committee li34 and the SCA. li35 Nevertheless, the efforts of the NMB to increase its capacity to monitor the Länder-level CPRD implementation li36 has not been successful. li37 This means that, it, except 2 permanent li38 and 1 temporary li39 monitoring Länder-level agreements, as well as a few action-plan evaluation orders of some federal states, including hesse and Thuringia li40, does not receive constant funding li41 for carrying out the tasks assigned to it at the Länder-level despite the explicit concern li42 and call of the CPRD Commit-

¹¹³² For more see sections below.

¹¹³³ Welti, 2019.

¹¹³⁴ CRPD/C/I/Rev.I, annex. Para. 18; Concluding observations on the initial report of Germany. Para. 62c.

¹¹³⁵ SCA, Report, November 2015, 3.1.

¹¹³⁶ Second-level-interview DE/A 1, on 31.01.2016Q. 11.

¹¹³⁷ See the answer of the federal government in the zweiter und dritter Staatenbericht der Bundesrepublik Deutschland zum UN-BRK. Para. 36.

¹¹³⁸ Federal states of Nordrhein-Westfalen and Saarland. For more see: https://www.in stitut-fuer-menschenrechte.de/das-institut/abteilungen/monitoring-stelle-un-behi ndertenrechtskonvention/verbaendekonsultation (Last accessed on 10.07.2022).

¹¹³⁹ In Berlin as of 2012. For more see:https://www.institut-fuer-menschenrechte.de/da s-institut/abteilungen/monitoring-stelle-un-behindertenrechtskonvention/verbae ndekonsultation (last accessed on 10.07.2022).

¹¹⁴⁰ For the full list of Action Plans for the federal government and the federal states see: https://www.institut-fuer-menschenrechte.de/das-institut/abteilungen/mon itoring-stelle-un-behindertenrechtskonvention/bund-und-laender-im-vergleich (last accessed on 10.07.2022).

¹¹⁴¹ See the response of the SP in the Combined second and third periodic reports (Deutscher Bundestag, Drucksache 19/11745), on 18.07.2019. Q. 36.

¹¹⁴² CRPD Committee, Concluding observations on the initial report of Germany. Para. 61:

[&]quot;The Committee is concerned ... that the SP does not provide the adequate resources on a permanent basis to support the independent monitoring mechanism's work in accordance with Article 33 (2)".

tee to ensure the availability of resources for more comprehensive and effective monitoring at the Land and municipal levels:¹¹⁴³ "There are many possibilities of counseling, intervention and providing commentaries on legislative projects or writing concepts for example in the field of education, where there is a big movement and extensive developments, but we cannot be everywhere simultaneously as we have not enough human and financial resources".¹¹⁴⁴

Actually, Germany introduced a similar institution at the federal level with the General Equality Law in 2006. In 2011, the federal Antidiscrimination Body launched the Antidiscrimination Coalition, which is a nation-wide offensive for a non-discriminatory society. As of spring 2021, Il federal states, including Hesse and Thuringia joined the Coalition and financed the establishment and operation of antidiscrimination bodies in their federal states. In arrangement corresponds to the Art. 84 Sentence 1 GG, which means that "where the Länder execute federal laws in their own right, they shall provide for the establishment of the requisite authorities and regulate their administrative procedures." The provision allowing the federation to regulate the administrative procedure with no possibility of separate Land legislation in exceptional cases, does not apply to the establishment of Länder-level institutions.

¹¹⁴³ CRPD Committee, Concluding observations on the initial report of Germany. Para. 62 C; See also CRPD/C/1/Rev.l, annex, Para. 18.

¹¹⁴⁴ Second-level-interview DE/A 1, on 31.01.2016Q. 10. The original reads as follows:

"Die Schwäche ist ein Mal, dass wir eben nicht überall gleichzeitig sein können und die Entwicklungen im Bereich Bildung sind sehr weitreichend. Da ist schon Bewegung drin und das ist sehr groß, ne? Das ist die größte Schwäche, dass wir nicht hinreichend viele Leute haben, um die Sachen zu überblicken. und es gibt viele Prozesse und Möglichkeiten der Beratung und der Intervention oder Einladung zu Vorträgen. Oder auch die Möglichkeit Gesetzgebungsvorhaben zu kommentieren oder Konzepte zu schreiben, die wir nicht wahrnehmen können, weil wir keine Kapazitäten haben"; Second-level-interview DE/A 1, on 31.01.2016Q. 4.

¹¹⁴⁵ AGG, as amended on 23.05.2022 by BGBl. I S. 768, §25.

¹¹⁴⁶ The scope of their mandate varies from Federal State to Federal State. For more see the Federal States' declarations of Intend (Absichtserklerungen der Bundesländer) at: https://www.antidiskriminierungsstelle.de/DE/was-wir-machen/projekte/ko alition-gegen-diskriminierung/koalition-gegen-diskriminierung-node.html;jses sionid=6057E1E642F7FEBE0D8A8283E6322F6A.intranet222 (Last accessed on 10.07.2022).

¹¹⁴⁷ BeckOK Grundgesetz/Suerbaum, 41. Ed. 15.5.2019, GG Art. 84 Rn. 1-66.

2.2 Austrian Monitoring Framework

The Austrian FMC started its work without its own budget. ¹¹⁴⁸ The BMSK announced however, that it, in acting as the bureau of the Committee, would assume the prior agreed costs associated with the work of the Committee. The members of the MC, including the chairperson, had to serve pro bono. ¹¹⁴⁹ With the 2010 amendment of the Federal Disability Act (BGBLA_2010_I_81), the chairperson began to receive an expense allowance, including travel costs. According to the 2012 report of the Committee, its scarce resources also affected the accessibility of the Committee meetings. Most specifically, the comprehensive communicative accessibility, especially for persons with learning disabilities could not be guaranteed. ¹¹⁵⁰

It took a couple of years before the federal government addressed the requirement of ensuring permanent funding of the FMC. The 2017 amendment of the Federal Disability Law allowed setting up a private non-profit legal entity that is jointly governed by the BMASK and the members of the MC. As of 2018, the entity is being allocated EUR 300.000 yearly for salary and office costs. Accordingly, it formed its first paid staff, including an employee of press and public relations, a lawyer and a secretary. Moreover, it moved to its own accessible office in November 2018. The amendment also provided for a reimbursement provision of travel and subsistence expenses for the members of the MC. However, the fact that the funding of the MC is under the sole control of the appropriate ministry and that the federal government is founding member of the non-profit legal

¹¹⁴⁸ See the minutes of the Monitoring Committee meeting on 10.12.2008. retrieved from: https://www.monitoringausschuss.at/protokolle/. (Last accessed on 01.07.2022).

¹¹⁴⁹ Ibid.

¹¹⁵⁰ Unabhängiger Monitoring Ausschuss, Bericht an den Bundesbehindertenbeirat, 10. Dezember 2012. Retrieved from: https://www.monitoringausschuss.at/dokume nte/berichte/ (Last accessed on 01.07.2022).

¹¹⁵¹ For the requirement see CRPD/C/1/Rev.l, annex, Para. 15; SCA, General Observations, 1.10.

¹¹⁵² The Federal Disability Act (BBG), §131 (1).

¹¹⁵³ See Monitoring Ausschuss, Rückblick auf unsere Tätigkeiten seit 2018. Retrieved from: https://www.monitoringausschuss.at/rueckblick-auf-die-taetigkeiten-des-monitoring-ausschusses-seit-2018/ (Last accessed on 01.07.2022).

¹¹⁵⁴ The Federal Disability Act (BBG), §13j (6).

entity, 1155 gives serious reason to doubt its ability to act independent of the federal government. 1156

The situation at the Länder-level is even more inadequate: ¹¹⁵⁷ The TMC, for instance, had no legally stipulated funding until 2017. Its operation was enabled through the financial means of the office of the Antidiscrimination Commissioner. ¹¹⁵⁸ This was satisfactory for the functioning of the MC, but not enough for big projects. ¹¹⁵⁹ The 2018 amendment of Tiroler Antidiskriminierungsgesetz- TADG¹¹⁶⁰ did not make tangible changes in this respect; it just added a provision, according to which the functioning of the TMC should continue being attached to, and located in the office of the Anti-discrimination Commissioner and be supported by the Tyroleangovernment. ¹¹⁶¹ The members of the MC, except the Commissioner, would continue working pro bono. This gives tangible reasons to conclude that the Länder-level MCs do not have the necessary infrastructure ¹¹⁶² to discharge their monitoring responsibilities.

2.3 Danish Monitoring Framework

All actors of the Danish MF have legally regulated funding. The DDC has governmental funding, covering its activities and employees of the secretariat, as well as reasonable accommodation of unremunerated members of the council. The annual funding amounts to DKK five point nine million- about EUR 8.000000. The work and staff of the Danish Parliamentary Ombudsman is financed through the parliamentary budget.

¹¹⁵⁵ Federal Disability Act (BBG), §13l (1).

¹¹⁵⁶ Principles relating to the Status of National Institutions (Composition); SCA, General Observations 1.10; See also, CRPD/C/1/Rev.1, annex. Paras. 15B – E and 17; OHCHR, National Human Rights Institutions, 41.

¹¹⁵⁷ Federal Monitoring Committee, 2018: Art. 33 (2).

¹¹⁵⁸ Second-level-interview AT/B-T 1, on 30.10.2015Q. 7.

¹¹⁵⁹ Second/third-level-interview AT/B-T 2, on 27.10.2015Q. 8.

¹¹⁶⁰ LGBl. Nr. 144/2018.

¹¹⁶¹ Tiroler Antidiskriminierungsgesetz, §16 (5).

¹¹⁶² Principles relating to the Status of National Institutions (Composition); SCA, General Observations 1.10; See also, CRPD/C/1/Rev.1, annex. Para. 15 B – E.

¹¹⁶³ BEK nr 897 af 12/05/2021, Sec. 42 PCS. 2.

¹¹⁶⁴ Second-level-interview DK/A 1, on 01.12.2016, Q. 7.

¹¹⁶⁵ Act No. 473 of 12 June 1996 concerning the Ombudsman as amended by the Consolidated Act No. 349 of 22 March 2013. Chapter 1.

The 2018 appropriation, for example, amounted to DKK 84,700.000.¹¹⁶⁶ However, the financial means provided to these actors are under control of the executive/legislator, which aggravates their independence.¹¹⁶⁷

The DIHR also has permanent governmental funding. This allows the DIHR to have its own employees, premises and not be subject to strict financial control that may affect its independence. To this end, the supervision of the DIHR assigned to the Ministry of Foreign Affairs is carried out in consideration of the independence of the DIHR and its self-governing nature. 1168 However, the governmental funding covering the domestic activities amounted to only 9 percent in 2007, which was criticised by the SCA. 1169 Nevertheless, this tendency continued until 2017 and slightly improved in 2020, when the governmental allocation amounted to about 28.8 percent of its overall budget.¹¹⁷⁰ This was one of the reasons for deferring the DIHR reaccreditation in 2017.¹¹⁷¹ Besides, the DIHR does not have additional funding for its mandate under the CPRD, whereas the SCA states that "if the NHRIs are given with additional responsibilities e.g., CPRD, it should be allocated additional financial resources to discharge these functions.¹¹⁷² Accordingly, the CPRD related actions should be financed through the general funds, which might eventually lead to prioritization of other tasks over the responsibilities under the CPRD. In addition, the limited domestic funds, evidently, hinder the DIHR as the only human-rights-based and Paris Principle compliant body to carry out capacity building activities for the diverse groups of DPs and their organizations, as a result of which the DPOs did not develop a human rights-oriented action policy. 1173

¹¹⁶⁶ The Danish Parliamentary Ombudsman, Annual Report 2018: 124 -129.

¹¹⁶⁷ Principles relating to the Status of National Institutions (Composition); SCA, General Observations 1.10; See also, CRPD/C/1/Rev.1, annex. Paras. 15B – E and 17; OHCHR, 2009, 41.

¹¹⁶⁸ Bylaws of the DIHR, Sec.34.

¹¹⁶⁹ SCA, Report, October 2007, 3.3.

¹¹⁷⁰ DIHR, 2020 Annual report to the Danish parliament, 37.

¹¹⁷¹ SCA, Report, November 2017, 3.2- Point 6.

¹¹⁷² SCA, General Observations, 1.10; See also CRPD/C/1/Rev.1, annex. Para. 11.

¹¹⁷³ For more see chapter VI part on Denmark.

3. Mandate of National Monitoring Mechanisms

3.1 German National Monitoring Body

Since its establishment, the sphere of competence¹¹⁷⁴ of the GIHR did not include protection responsibilities.¹¹⁷⁵ The adoption of the DIMR-Act of 2015, with which the GIHR has been designated as the NMB of Germany¹¹⁷⁶ both at the federal and Länder-levels,¹¹⁷⁷ did not expand the mandate of the GIHR although the CPRD Committee underlines that the mandate of the MFs should "encompass the promotion, protection and monitoring of all rights enshrined in the Convention",¹¹⁷⁸

3.1.1 Promotion

In accordance with Section 2 of the DIMR-Act, the NMB provides information to various actors at the horizontal and vertical governmental levels, ¹¹⁷⁹ carries out applied research, ¹¹⁸⁰ and provides human rights trainings. These, however, proved to be not as comprehensive as it has been required by the CPRD. ¹¹⁸¹ This affects and is visible especially in indirect policy fields e.g., education at the Länder-level. ¹¹⁸²

¹¹⁷⁴ Principles relating to the Status of National Institutions. Competence and responsibilities 2.

¹¹⁷⁵ SCA, Report, November 2008, 4.3.

¹¹⁷⁶ DIMRG, §1.

¹¹⁷⁷ Aichele, 2015, 85-95.

¹¹⁷⁸ CRPD/C/l/Rev.l, annex. Para. 15; the SCA, General Observation 1.2 provide for only promotion and protection Competencies, although it enlists 'monitoring' under the protection competence.

¹¹⁷⁹ Second-level-interview DE/A 1, on 31.01.2016Q. 19.

¹¹⁸⁰ Aichele, 2015; For the full research List, see: Publikationen | Deutsches Institut für Menschenrechte at: https://www.institut-fuer-menschenrechte.de/publikationen (Last accessed on 01.07.2022).

¹¹⁸¹ See the appropriate requirements in CPRD. Art. 4.II, Art. 8.2 B and D, Art. 13.2, Art. 24.4; CRPD/C/1/Rev.l, annex. Para. 23 K and L; in 2011, the requirement was also reconfirmed by the UN Declaration on Human Rights Education and Training adopted by the General Assembly on 19 December 2011 (A/RES/66/137); Principles relating to the Status of National Institutions Competence and responsibilities 3 f; Vienna Declaration and Programme of Action. Para. 36.

¹¹⁸² For more see chapter IV part on Germany.

Although there is no formal regulation on responding or complying to advice and requests, the NMB,¹¹⁸³ provided advice and comment on federal-level draft laws concerning DPs directly.¹¹⁸⁴ The actions of the NMB in indirect policy fields, instead, are not visible: for instance, the policy-making processes of the Federal Ministry of Education and Research, which oversees the field of vocational and higher education, contain no written commentaries of the NMB.¹¹⁸⁵ Similarly, the involvement of the NMB in the public hearings of the Bundestag in direct policy fields is ensured,¹¹⁸⁶ whereas in Committees that do not concern DPs directly but have essential importance for their development e.g., vocational and higher education, its participation has not been ensured.¹¹⁸⁷

Neither the DIMRG¹¹⁸⁸ nor the statutes of the GIHR contain provisions regulating the scope, form and extent of the "National" Monitoring Body in carrying out its tasks in the federal states. Accordingly, only a few out of 16 federal states adopted laws allowing permanent or temporary NMB monitoring at the Länder-level. Other federal states, including Hesse and Thuringia, have had only a punctual cooperation with the NMB. This means that in these federal states there is no Independent Mechanism that could conduct effective promotion, protection and monitoring of the implementation of the CPRD. Accordingly, the measures taken by the federal states to develop and implement CPRD-conform policies differ

¹¹⁸³ SCA, General Observations, 1.6; CRPD/C/1/Rev.1, annex. Para. 16.

¹¹⁸⁴ For more refer to BMAS website on laws (Gesetze und Gesetzesvorhaben) at: https://www.bmas.de/DE/Service/Gesetze-und-Gesetzesvorhaben/gesetze-und-gesetzesvorhaben.html (Last accessed on 01.07.2022).

¹¹⁸⁵ For more see the webpage of the Federal Ministry of education and research containing documents on the developed laws Gesetze – BMBF at: https://www.bmbf.de/bmbf/de/service/gesetze/gesetze_node.html (Last accessed on 01.07.2022).

¹¹⁸⁶ E.g., Bundesteilhabegesetz: Ausschussdrucksache 18(11)801; Barrierefreiheitsstärkungsgesetz: Ausschussdrucksache 19(11)1137; Entwurf für ein Gesetz zur Umsetzung der Richtlinie (EU) 2019/882 des Europäischen Parlaments und des Rates über die Barrierefreiheitsanforderungen für Produkte und Dienstleistungen und zur Änderung des Jugendarbeitsschutzgesetzes: Ausschussdrucksache 19(11)113.

¹¹⁸⁷ BT-Drucksache 19/8749; BT-Drucksache 19/14431; BT-Drucksache 19/15273.

¹¹⁸⁸ BGBl I 2015, 1194.

¹¹⁸⁹ For more see: https://www.institut-fuer-menschenrechte.de/das-institut/abteilun gen/monitoring-stelle-un-behindertenrechtskonvention/berlin (Last accessed on 01.07.2022).

¹¹⁹⁰ Ibid.

¹¹⁹¹ First-level-interview DE/B-H I, on 14.01.2016, Q. 6; First-level-interview DE/B-T 2, on 23.05.2018, Qs. 3, 4 and 6.

from federal state to federal state. For instance, the State of Hesse did not assess if the state laws comply with the CPRD provisions neither before nor after the ratification, but it commissioned the Max Planck Foundation for International Peace and the Rule of Law, to develop a check-list, which had to help in identifying the discrepancies of the Hessian Laws with the CPRD. 1192 The Thuringian government, in its turn, commissioned the NMB to evaluate the compliance of selected laws falling under its exclusive legislative and executive powers, including School Law. 1193 However, in amending the School Law, the recommendations of the NMB have not been taken into account as they have been considered to be very radical.¹¹⁹⁴ Besides, both federal states by setting the framework of assessment commissioned the NMB to evaluate the Action Plans on the implementation of the CPRD.¹¹⁹⁵ The evaluations, during which the NMB conducted expert interviews with 5/6 ministerial representatives and 5/6 representatives of the civil society and reviewed relevant documents of the federal states, showed that Action Plans were built up on already existing measures, did not provide exact information on actors responsible for execution, budgetary issues and the timeline of the target actions and contained measures that were not based on human rights norms stipulated by the CPRD e.g., inclusive education. 1196 In response to the evaluation report on the Action Plan, the Hessian State government announced the development of the concretized Action Plan 2.0, which has not been developed as of summer 2022. The Thuringian State government, instead, by following the advice of the NMB, adopted the second Action Plan in 2018 containing a number of improvements but failed in enlisting measures aimed at ensuring inclusive schooling. The presence of the NMB in the parliamentary processes of two examined federal states has not been ensured either.

¹¹⁹² First-level-interview DE/B-H 1, on 14.01.2016, Q. 3.

¹¹⁹³ First-level-interview DE/B-T 2, on 23.05.2018, Q. 3; For the list of evaluated laws see: Thüringer Ministerium für Arbeit, Soziales, Gesundheit, Frauen und Familie (TMASGFF) 2. Thüringer Maßnahmenplan zur Umsetzung der UN-Behindertenrechtskonvention, Erfurt: 2018. S. 20.

¹¹⁹⁴ First-level-interview DE/B-T 2, on 23.05.2018, Q. 4.

¹¹⁹⁵ Monitoring-Stelle UN-Behindertenrechtskonvention (2013): Evaluationsbericht der Monitoring-Stelle zur UN-Behindertenrechtskonvention zum Hessischen Aktionsplan zur Umsetzung der UN-Behindertenrechtskonvention,2016: Evaluationsbericht der Monitoring-Stelle zur UN-Behindertenrechtskonvention zum Thüringer Maßnahmenplan zur Umsetzung der UN-Behindertenrechtskonvention.

¹¹⁹⁶ Ibid.

To this end, it becomes evident that the actions taken by the NMB to promote the rights of DPs through advisory procedures, addressed mainly the direct policy fields of the federal executive and legislative organs, whereas efforts taken to promote the provisions of the CPRD, including civil, political, economic, cultural and social rights at the state and municipal levels¹¹⁹⁷ were not sufficient enough to induce the desired paradigm shift.

3.1.2 Monitoring

The GIHR as a NHRIs did not have an explicit 1198 mandate to monitor compliance with human rights Treaties. However, with its designation as the Independent Monitoring Body under the Art. 33 of the CPRD, it, most precisely, its NMB department, developed a system to assess the conformance of domestic legislation and policies with the CPRD, laid down indicators and benchmarks, and maintains website containing information on practices related to the implementation of the Convention. 1199 It was also able to measure the impact of disability-specific policies through thematic studies and annual reports, as well as disability action plans of some federal states and federation. However, its impact assessment of indirect policies and programmes on DPs as it is required by the CPRD Committee¹²⁰⁰ included selected essential policy fields e.g., education but failed in evaluating these in the light of structural configuration of the SP. For the successful performance of this, the NMB would have to maintain permanent collaboration with all relevant Länder-level actors, 1201 including administrative organs and the DPOs, which has not been ensured since its establishment. Accordingly, the requirement to ensure the identification and bridging the gaps that prevent DPs — as rights holders — from fully enjoying their rights, as well as the gaps that infringe on duty bearers to fully discharge their legal obligations to respect, protect and fulfil the rights of DPs¹²⁰² in

¹¹⁹⁷ CRPD/C/1/Rev.l, annex. Paras. 15 And 18; Principles relating to the Status of National Institutions Competence and responsibilities 3a; Vienna Declaration and Programme of Action. Para. 36.

¹¹⁹⁸ SCA, General Observations, 1.6.

¹¹⁹⁹ See the CPRD Committee requirement in CRPD/C/1/Rev.1, annex. Para. 13.

¹²⁰⁰ CRPD/C/1/Rev.1, annex. Para. 39D.

¹²⁰¹ CRPD/C/1/Rev.1, annex. Para. 38.

¹²⁰² CRPD/C/1/Rev.1, annex. Para. 39c.

all policy fields and at all governmental levels with the direct involvement of DPOs¹²⁰³ could not been sufficiently fulfilled.

In addition, the NMB as the Independent Monitoring Mechanism under the CPRD submits parallel reports to the CPRD Committee independent of the SP by providing a human-rights-oriented and research-based standpoint on the implementation of the CPRD provisions. It also contributes to the preparation of lists of issues, both for the general and the simplified reporting procedures and answers the list of questions as it is required by the CPRD Committee. 1204

3.1.3 Protection

In fact, the GIHR has been established to serve as a research institution ¹²⁰⁵ and up-to-day it preserves its research profile despite the critique of the SCA ¹²⁰⁶ and explicit requirement of the CPRD Committee to empower the NMB with the proactive and reactive protection competencies. ¹²⁰⁷ Most particularly, the capacity of the GIHR to conduct ex-officio investigations, despite its proven importance for the protection of human rights, ¹²⁰⁸ has neither been explicitly stated in the DIMR-Act nor regulated by any other legal document as it is for example the case with the federal and Länder-level disability commissioners, who, in carrying out their responsibilities, are empowered with requesting all authorities and other public bodies to provide the necessary information and to grant access to the relevant documents. ¹²⁰⁹ Accordingly, the GIHR in general and NMB in particular, cannot protect proactively the rights of DPs as it does not have "expeditious and full access to information, databases, records, facilities and premises, such as care homes, psychiatric institutions and sheltered workshops, as well as

¹²⁰³ CRPD/C/1/Rev.l, annex. Paras. 2, 3, 5, 20, 39^E; See also CPRD Committee, General Comment No. 7. Paras. 34 – 39.

¹²⁰⁴ CRPD/C/1/Rev.1, annex. Para 23 d, f and g; See also, SCA, General Observations, 1.4.

¹²⁰⁵ Rudolf, 2011.

¹²⁰⁶ SCA, Report, November 2008, 4.3.

¹²⁰⁷ CRPD/C/1/Rev.1, annex. Para. 13.

¹²⁰⁸ See for example: Brodie, 2015, 1223.

¹²⁰⁹ See for example: BGG, §18 (3): "Alle Bundesbehörden und sonstigen öffentlichen Stellen im Bereich des Bundes sind verpflichtet, die beauftragte Person bei der Erfüllung der Aufgabe zu unterstützen, insbesondere die erforderlichen Auskünfte zu erteilen und Akteneinsicht zu gewähren."

regular and special schools both in urban and rural or remote areas." This means that the NMB cannot collect information on violations and issue/publish reports on considered and processed complaints which could be another proactive way of preventing violations as it might expose the wrongdoings of the state and thus serve as a costly naming and schaming strategy. 1211

Furthermore, the GIHR, including NMB does not have a mandate to handle individual complaints as it is suggested by the Paris Principles¹²¹² and required by the CPRD Committee.¹²¹³ The resistance to empower the NMB with complaint handling competence might be explained by the perception that "for a clear line" between the role of an NHRI and the judiciary, the NHRI should not have judicial powers¹²¹⁴ or by the argument that human rights protection is based exclusively on the judicial system and the Constitutional Court¹²¹⁵. While these assumptions might be valid, the quasi-judicial mandate of NMB is seen as key to its public legitimacy¹²¹⁶ since it could serve as an accessible 1217 and independent instrument for protecting the rights of DPs across the state. In fact, the need for such an instrument has been recognized¹²¹⁸ and as a consequence introduced and assigned to the Federal Disability Commissioner with the Federal Participation Law of 2016, but its scope is limited to only violations concerning federal-level public authorities.¹²¹⁹ Accordingly, in case of violations within the realm of the exclusive legislative powers of federal states e.g., accessibility and reasonable accommodation in the schools, DPs and their families do not have easily accessible and uncomplicated access to justice. 1220

The NMB department of the GIHR, as a registered non-governmental human rights organization, could, in fact, use legal representation options

¹²¹⁰ CRPD/C/1/Rev.1, annex. Para. 12.

¹²¹¹ For more on naming and shaming strategy see Franklin, 2015.

¹²¹² SCA, General Observations, 1.6 and 2.9.

¹²¹³ CRPD/C/1/Rev.1, annex. Para. 13.

¹²¹⁴ Amnesty International, para. 4.D.1.

¹²¹⁵ Nußberger, 2012.

¹²¹⁶ Carver, 2000; Pegram, 2011; Linos/Pegram, 2015; for the general discussion on legitimacy see, Goodman and Pegram, 2012.

¹²¹⁷ Carver, 2000: 83.

¹²¹⁸ Welti et al., 2014.

¹²¹⁹ BGG, Para. 16; Behindertengleichstellungsschlichtungsverordnung.

¹²²⁰ Welti et al, 2014: 294; Schroeder, et al., 2014; See also European Union Agency for Fundamental Rights, 2011.

provided by domestic law¹²²¹ to initiate direct strategic litigation¹²²² concerning important human rights violations of DPs. Instead, the NMB, in over 11 years of its establishment, contented with a few domestic¹²²³ and international¹²²⁴ initiatives of third-party interventions, where it was not a full party to the proceedings.

3.1.4 Multi-level Cooperation with state and non-state bodies

The GIHR in general, and the NMB in particular, is obligated to collaborate with all the actors responsible for the promotion, protection, implementation and monitoring of human rights of DPs. To fulfil its international-level responsibilities, 1226 the NMB interacts with the Committee in the framework of the state reporting procedure by submitting parallel reports and participating in the dialogue between the Committee and the delegation of the SP. 1227

At the EU level, the NMB is a member of the European NHRIs. Accordingly, it actively participates in all disability-related activities, including third-party interventions before the ECJ and ECTHR.

¹²²¹ VwGO, §67 (2.2.6); SGG, §73 (2.8); BGG, §14, §15 and §16 (3. The Labour courts (ArbGG, as amended on 5.10.2021 by BGBl. I S. 4607, §11) Federal Constitutional Court (Section 22 BverfGG, as amended on 20.11.2019 by BGBl. I S. 1724) and constitutional courts of federal states (E.G. Section 20 StGHG, as amended on 1.04.2022 by GVBl. S. 184, 204; Section 17 ThürVerfGHG, as amended on 8.08.2014 by GVBl. S. 469) do not envisage such an opportunity.

¹²²² Welch/Haglund, 2017.

¹²²³ E.g., Amicus-Curiae-Stellungnahme: Wahlrechtsausschlüsse nach dem Bundeswahlgesetz (BWahlG) im Wahlprüfbeschwerdeverfahren (Bundesverfassungsgericht, 2 BvC 62/14); Amicus-Curiae-Stellungnahme: Diskriminierung durch Kündigung wegen HIV (Bundesarbeitsgericht, 6 AZR 190/12); Bedeutung der UN-Behindertenrechtskonvention/Zugang zur Regelschule (Verwaltungsgerichtshof Hessen).

¹²²⁴ E.g., Stellungnahme: Sterilisierung ohne Einwilligung (Europäischer Gerichtshof für Menschenrechte).

¹²²⁵ SCA, General Observations, 1.4 and 1.5; CRPD/C/I/Rev.I, annex; CPRD Committee, General Comment No. 7 Paras. 36 – 38.

¹²²⁶ CRPD/C/1/Rev.1, annex. Part IIIa.

¹²²⁷ CRPD/C/1/Rev.1, annex. Part IIIa.

At the national level, the NMB collaborates with the executive organ designated as the FP.¹²²⁸ In the framework of its cooperation, it also prepares state reports on behalf of the SP,¹²²⁹ which is seen critical by the SCA.¹²³⁰

The NMB maintains regular contact also with the Federal Disability Commissioner, who is appointed as the CM under the Art. 33 Para. 1 of the CPRD and is an advisory member of the GIHR Board of Trustees. It is also an advisory member of the NAP Committee governed by the federal FP,¹²³¹ but in general the interaction does not take place in formalized manner, e.g., through legislation regulations or a duly authorized executive agreement and directive.¹²³² Cooperation with the similar bodies at the Länder-level either takes place in a limited extent e.g., in the framework of Länder-level action plans evaluations or does not take place at all. In reviewing the legislative processes both at the vertical and horizontal governmental levels, as well as in evaluating the multi-level and multi-actor interviews I could not identify constant and formalised cooperation of the NMB with public authorities responsible for development and administration of policies addressing DPs indirectly.

The collaboration with the civil society takes place, in addition to their inclusion in the governing bodies of the GIHR, through regular SC consultations on various CPRD-related subjects in Berlin. It takes part three times a year and in principle, is open to any civil society organization that has an interest in working resolutely for the implementation of the CPRD and the desire to exchange experiences concerning the rights of DPs with other relevant actors. Although the consultations are open in format, participation is by invitation only and no organization can be represented by more than one person. There are over 60 organizations which are regularly invited to participate in the consultations. These include organizations representing the interests of service providers and family members and federal level self-help umbrella organizations, which were,

¹²²⁸ Second-level-interview DE/A 1, on 31.01.2016Q. 14.

¹²²⁹ Second-level-interview DE/A 1, on 31.01.2016, Q. 13; Third-level-interview DE/A 4, on 04.06.2018, Q. 12.

¹²³⁰ SCA, General Observations, 1.4.

¹²³¹ NAP 2.0, §5.4.2 (NAP-Ausschuss).

¹²³² See the statement of the CPRD Committee, CRPD/C/1/Rev.1, annex. Para. 21.

¹²³³ For more on the Civil Society Consultations, refer to: Verbändekonsultation | Deutsches Institut für Menschenrechte at: https://www.institut-fuer-menschenrechte.de/das-institut/abteilungen/monitoring-stelle-un-behindertenrechtskonvention/verbaendekonsultation (Last accessed on 01.07.2022).

overall, satisfied with the structural implementation of and cooperation with the NMB. 1234 Länder-level DPOs, thus, do not have direct access to the civil society consultations of the NMB. 1235 Consequently, the views of the Länder-level DPOs on the Länder-level-specific issues with regard to the implementation and monitoring of the Convention are being considered only in the framework of the evaluation reports of the NMB, where the participation of the Länder-level DPOs is very limited and perceived to be not only ineffective but also inaccessible for some groups of disabilities: e.g., hearing impaired. 1236 This, in view of the federal structure of Germany, might put its efficacy under question as cooperation with the DPOs is not only obligatory under the CPRD, 1237 but also seen as one of the fundamental elements for its successful functioning and public legitimation. 1238

Besides, the NMB, despite its explicit obligation to ensure accessibility in all the stages of its work, 1239 appeared to be inaccessible for DPOs. Most particularly, it was underlined that the DPO consultation venue was not accessible for wheelchair users, materials of the NMB were not readable for the blind, and learning disabled did not have easy-to-understand language translators to participate meaningfully. 1240 The inaccessibility is also visible on some pages of its website and in its thematic and state-related studies.

The NMB also coordinates efforts of DPOs involvement in the preparation of state reports as it is suggested by the CPRD Committee. However, it is not clear to what extent the NMB contributes to the encouragement of the departments or units responsible for drafting the reports to ensure participatory and transparent consultation processes and informing and

¹²³⁴ Third-level-interview DE/A 4, on 04.06.2018Q. 4; for more see the chapter VI part on Germany.

¹²³⁵ Third-level-interview DE/B-H 1, on 05.07.2016Q. 6; Third-level-interview DE/B-H 2, on 30.05.18Q.15; Third-level-interview DE/B-H 3, on 14.06.2018 Qs. 6 and 15.

¹²³⁶ Ibid.

¹²³⁷ CPRD, Art. 33 (3); Principles relating to the Status of National Institutions. Methods of operation G.

¹²³⁸ Renshaw, 2012.

¹²³⁹ CRPD/C/1/Rev.l, annex. Paras. 20, 23c; CPRD Committee, General Comment No. 7 Paras. 36 – 39.

¹²⁴⁰ Third-level-interview DE/A 4, on 04.06.2018, Q. 12; Third-level-interview DE/A 5, on 04.06.2018, Q. 12. With regard to getting easy-to-read/understand training material there was also positive experience, Third-level-interview DE/A 1, on 15.05.2018, Q. 12.

¹²⁴¹ CRPD/C/1/Rev.1, annex. Para. 23c; See also, Müller/Seidensticker 2007.

supporting the civil society in developing an alternative report.¹²⁴² In any case, it is an indisputable fact that the local-level DPOs have been completely left out of reporting procedures.¹²⁴³

3.2 Austrian Monitoring Framework

Since the establishment of the FMC in December 2008, 1244 its mandate has been limited to the matters falling under the jurisdiction of the federation. To this end, in fulfilling the obligation of protecting and monitoring the CPRD in matters falling within the core legislative competences of the federation, the provinces (Länder) have to establish or designate bodies that meet the requirements of an Independent Mechanism under the Art. 33 of the CPRD.¹²⁴⁵ This applies also to matters, where the federation has the legislative competence and the provinces (Länder) are entitled with the implementation competences.¹²⁴⁶ As a result, the provincial governments, after long hesitation tasked the antidiscrimination commissioners with competences under the Art. 33.3 CPRD. 1247 For instance, The Tyroleangovernment designated the Antidiscrimination Commissioner with CPRD monitoring responsibilities, but its mandate has not been regulated by the law. 1248 After the amendment of the Tyrolean Anti-Discrimination Act in 2017,¹²⁴⁹ the mandate of the MC has been legally stipulated. Nevertheless, as the sections below show, the legal framework of Austrian MF is not as broad as it is required. 1250

¹²⁴² CRPD/C/1/Rev.1, annex. Para. 23c; See also, Müller/Seidensticker 2007; Kjaerum, 2009a: 17 – 24.

¹²⁴³ For more see chapter VI part on Germany.

¹²⁴⁴ Schulze, 2013.

¹²⁴⁵ The Federal Disability Act (BBG), §13h.

¹²⁴⁶ Ibid., §13i.

¹²⁴⁷ Federal Monitoring Committee, 2018: Article 33 (2).

¹²⁴⁸ Second-level-interview AT/B-T 1, on 30.10.2015Q. 7.

¹²⁴⁹ TADG LGBL, Nr. 127/2017.

¹²⁵⁰ Principles relating to the Status of National Institutions. Competence and responsibilities 2; CRPD/C/1/Rev.1, annex. Para. 15; SCA General Observation 1.2.

3.2.1 Promotion

In order to promote awareness about the rights of DPs, Austrian FMC, unlike the TMC, submits reports on its activities and concerns to the federal Minister of Social Affairs. The report is being published, which might have awareness raising effects for a few relevant actors, but it cannot, definitely, substitute the effect caused by the tabling in parliament.¹²⁵¹ The Austrian MCs also publish thematic reports covering the specific rights of the CPRD and organize public debates, which can encourage broader discussions and thus contribute to the awareness raising among public. However, due to their inadequate and dependent position, the MCs are unable to take on their important role of providing human rights education and training 1252 to the state officials, civil servants, judges, law enforcement officials, professionals and staff in the education system, as well as DPOs. 1253 The lack of human rights education and capacity building is visible especially in the disability organizations that are not represented in the MCs, which results in their incapacity of voicing their views in state reporting procedures¹²⁵⁴ on an equal footing with the disability organizations represented in the MCs. Accordingly, the shadow report submitted by the disability organizations is almost identical to that of the FMC's report.

In promoting the federal-level implementation of the CPRD, the FMC submits opinions on the legal and administrative rules in force as well as corresponding practice and issue recommendations (Stellungnahmen) for amendments in all matters that fall under the legislative and administrative competence of the federation or administrative competence of provinces. Within this legal framework, the FMC also submits commentaries (Begutachtungen) on draft laws concerning direct and indir-

¹²⁵¹ Brodie, 2015: 1242-1243.

¹²⁵² Principles relating to the Status of National Institutions Competence and responsibilities 3 f; UN Declaration on Human Rights Education and Training, Art. 9; Vienna Declaration and Programme of Action. Para.36; CRPD/C/1/Rev.l, annex. Para. 23 E, K, L and N.

¹²⁵³ CPRD, Art. 4 (II), Art. 8 (2 B and D), Art. 13 (2), Art. 24 (4); CRPD/C/1/Rev.I, annex. Para.23 E, K, L and N; In 2011, the requirement was also reconfirmed by the UN Declaration on Human Rights Education and Training adopted by the General Assembly on 19 December 2011 (A/RES/66/137).

¹²⁵⁴ See the CPRD Committee requirement in the CRPD/C/1/Rev.1, annex. Para.23 E, K, L and N.

¹²⁵⁵ BBG, §13g (2.1).

ect e.g., education policy fields both at the federal and Länder-levels.¹²⁵⁶ The TMC also has similar competencies.¹²⁵⁷ Nevertheless, it avoids expressing explicit criticism against Tyroleangovernment:¹²⁵⁸ e.g., in case of the amendment of the Tyrolean Anti-Discrimination Act, it remained silent, whereas the FMC Committee submitted a commentary on the draft law of the Tyrolean Anti-Discrimination Act by criticising provisions regulating the composition, member selection process, mandate and financial control of the TMC.¹²⁵⁹ The act was adopted without taking into account any of the recommendations of the FMC.¹²⁶⁰

Besides, the multi-actor interviews and analysis of legislative processes allow the assumption that, due to their subordinate position, composition and research incapacity, the advice and commentaries of the Austrian MCs are addressed more as the voice of civil society than as of independent human rights institution. ¹²⁶¹

3.2.2 Monitoring

The legal acts regulating the Austrian multi-level MF do not provide a collaborative possibility¹²⁶² of developing a data collection system¹²⁶³ that could help in measuring the impact of direct and indirect policies and programmes on DPs,¹²⁶⁴ and facilitate the identification of gaps that infringe

¹²⁵⁶ BBG, §13g (2.2). For the list of opinions and commentaries (available only in German language), refer to: https://www.monitoringausschuss.at/dokumente/beri chte/ (Last accessed on 01.07.2022).

¹²⁵⁷ Tiroler Antidiskriminierungsgesetz 2005, §16b (1); For the list of opinions and commentaries (available only in German language), refer to: https://www.tirol.gv. at/gesellschaft-soziales/gleichbehandlung-antidiskriminierung/tiroler-monitoring ausschuss/stellungnahmen/ (Last accessed on 01.07.2022).

¹²⁵⁸ Second/third-level-interview AT/B-T 2, on 27.10.2015, Q.12.

¹²⁵⁹ Unabhängiger Monitoring Ausschuss zur Umsetzung der UN-BRK, "Stellungnahme zum Entwurf der Novelle zum Tiroler Antidiskriminierungsgesetz und zum Entwurf der Novelle zum Gesetz über den Tiroler Volksanwalt", 9.08.2017.

¹²⁶⁰ To follow the 2017 legislative process of the Tyrolean Anti-Discrimination Act, refer to legal information system (Rechtsinformationssystem-RIS), which provides information on federal and state law and EU law, as well as case law, selected legal norms of municipalities and Federal Ministries.

¹²⁶¹ First-level-interview AT/A 1, on 27.04.2016, Qs. 14 and 17; Second-level-interview AT/B-T 1, on 30.10.2015, Q. 10.

¹²⁶² BBG, §13g (2); Tiroler Antidiskriminierungsgesetz, §16b).

¹²⁶³ CRPD/C/1/Rev.1, annex. Para.38.

¹²⁶⁴ CRPD/C/1/Rev.1, annex. Para. 39D.

on duty bearers to fully discharge their legal obligations to respect, protect and fulfil the rights of DPs. 1265 Neither do the Austrian MCs have the necessary qualified staff and appropriate financial resources 1266 to develop needed data collection system independently. As a result, the focused studies and parallel reports submitted by Austrian MF are more experienced reports than scientifically analysed bassline studies.

3.2.3 Protection

The limited mandate¹²⁶⁷ of Austrian MCs becomes visible especially in reviewing their protection competences: the FMC, for example, can obtain statements of the public authorities concerning the CPRD and thereby access all the necessary information and documentation.¹²⁶⁸ This could, in fact, serve as an effective proactive protection instrument if not for the subordinate structure of the Committee, the partiality of which might rightly be called into question. The TMC does not even have such a possibility.¹²⁶⁹

The Austrian MF also does not have the needed¹²⁷⁰ capacity to handle complaints or petitions from individuals or groups regarding the alleged violation of their human rights¹²⁷¹ despite the proven benefit of this instrument for public legitimacy.¹²⁷² The MCs also do not take efforts to engage in direct litigation¹²⁷³ and submitting third-party interventions before international, supranational or national courts. This gives reasons to assume that the composition, infrastructure and mandate of the Austrian multi-level MF do not allow sufficient protection of DPs from violations.

3.2.4 Multi-Level Cooperation

The FMC cooperates with the CPRD Committee in the framework of the state reporting procedure as a fundamental element of its responsibilit-

¹²⁶⁵ Ibid. Para. 39c.

¹²⁶⁶ See section 2.2. of this chapter.

¹²⁶⁷ BBG, §13g (2); Tiroler Antidiskriminierungsgesetz 2005, §16b (1).

¹²⁶⁸ BBG, §13g (2.1) und (4).

¹²⁶⁹ Tiroler Antidiskriminierungsgesetz 2005, §16b (1).

¹²⁷⁰ CRPD/C/1/Rev.1, annex, Para.13.

¹²⁷¹ BBG, §13g (2); Tiroler Antidiskriminierungsgesetz, §16b.

¹²⁷² Carver, 2000; Pegram, 2011; Linos/Pegram, 2015; For the general discussion on legitimacy see, Goodman and Pegram, 2012.

¹²⁷³ Welch/Haglund, 2017.

ies.¹²⁷⁴ Due to its non-Paris Principles compliant status, the Austrian MF does not have access to the European Network of National Human Rights Institutions¹²⁷⁵ and its disability-related activities.

After the designation of Länder-level MCs, the FMC started cooperating with them as it is required by the CPRD Committee. Properties for instance, the TMC organized a public meeting in 2018 with the FMC. Nevertheless, it, despite being asked for cooperation, did not participate in the first parallel reporting as "the initial state report was already submitted and there was not much to do", but it took part at the second parallel reporting process. 1279

The formalised cooperation and interaction of the MF with the FP and CM¹²⁸⁰ is regulated by the law and takes place, mainly, through the mutual participation in the MC and the Federal Disability Advisory Board.¹²⁸¹ Tyrol does not have a FP. Instead, it has designated a CM,¹²⁸² which cooperates with the designated MC through the Antidiscrimination Commissioner and within the legislative processes.¹²⁸³ To this end, it might be assumed that the ability of the MCs to cooperate on an equal footing with the FP/CM is highly jeopardised due to their structural dependency, non-neutrality of the Committee members and controlled funding.

¹²⁷⁴ CRPD/C/1/Rev.1, annex. Part III.

¹²⁷⁵ For more see the Members of ENNHRI at: https://ennhri.org/our-members/. (Last accessed on 01.07.2022).

¹²⁷⁶ CRPD/C/1/Rev.1, annex, Para. 14.

¹²⁷⁷ For more info refer to the webpage of the Tyrolean Monitoring Committee on Public Meetings at:: https://www.tirol.gv.at/gesellschaft-soziales/gleichbehandlun g-antidiskriminierung/tiroler-monitoringausschuss/oeffentliche-sitzungen/ (Last accessed on 01.07.2022).

¹²⁷⁸ Second-level-interview AT/B-T 1, on 30.10.2015Q. 13. The original reads as follows: "Wir sind eingerichtet worden, da war der Staatenbericht schon abgeschlossen, deswegen war dann nicht sehr viel. (...) Und also zur Stellungnahme wurden wir aufgefordert damals als Antidiskriminierungsbeauftragte auch.".

¹²⁷⁹ The 2018 commentary on formation of a New Government (Stellungnahme zur Regierungsbildung). Retrieved from the webpage of the Tyrolean Monitoring Committee on commentaries at: https://www.tirol.gv.at/gesellschaft-soziales/g leichbehandlung-antidiskriminierung/tiroler-monitoringausschuss/stellungnah men/ (Last accessed on 01.07.2022).

¹²⁸⁰ CRPD/C/1/Rev.1, annex. Para. 21.

¹²⁸¹ See BBG, §9 (1).

¹²⁸² For more see chapter IV.

¹²⁸³ Second-level-interview AT/B-T 1, on 30.10.2015, Q. 14.

The MF shall also consult and cooperate with other bodies responsible for the promotion and protection of human rights. ¹²⁸⁴ In particular, it should collaborate with the ombudsmen office. This becomes much more important especially in taking into account that Austria, instead of maintaining a single human rights institution, has multiple accountability structures, whereas Richard Carver argues that generally the model of a single NHRI is likely to lead to greater effectiveness. ¹²⁸⁵ For instance, Austria instead of designating the B-status ombudsmen Board as the CPRD MF and strengthening its independence, it established a new FMC that does not even have a B-status. As a result, it maintains multiple disability-related protection bodies with overlapping functions. ¹²⁸⁶ In view of this, the mutual cooperation of these bodies became unavoidable and legally regulated, ¹²⁸⁷ which does not necessarily lead to its effective functioning as none of them has the required A-status. ¹²⁸⁸

As it was mentioned above, since its establishment, the Austrian multi-level MF has been composed of representatives of selected disability organizations, many of whom are members of the Committee from the first nomination turn. This of course leads to close cooperation between the FMC and the represented DPOs.¹²⁸⁹ However, it should be considered that due to non-changing members, other disability groups and their organizations do not have an opportunity to work with the FMC, except for a few public meetings¹²⁹⁰ that were not accessible to all groups of DPs due to the absence of necessary funding.¹²⁹¹The cooperation is even more irregular and loose at the provincial level: for instance, the TMC does not have a legal mandate to collaborate and include DPOs. It tries to ensure dialogue with various affected groups by organizing public discussions at least twice annually.¹²⁹²

¹²⁸⁴ Principles relating to the Status of National Institutions. Methods of operation F.

¹²⁸⁵ Carver, 2011.

¹²⁸⁶ Schulze, 2013, B. Independent Mechanism (Article 33 2) CRPD).

¹²⁸⁷ The cooperation takes place through the Federal Disability Board and Both the chairperson of the FMC and the federal disability ombudsmen are its members (BBG, §9 (1 Ns. 8 and 10); See also BBG, §13c (4).

¹²⁸⁸ CRPD/C/1/Rev.1, annex. Para.14.

¹²⁸⁹ Principles relating to the Status of National Institutions. Methods of operation G; CRPD/C/1/Rev.l, annex. Paras. 2, 3, 5, 20, 39^E; See also CPRD Committee, General Comment No. 7. Paras. 34 – 39.

¹²⁹⁰ For the full list of public meetings see: https://www.monitoringausschuss.at/sitzun gen/ (Last accessed on 01.07.2022).

¹²⁹¹ Schulze, 2013.

¹²⁹² Second-level-interview AT/B-T 1, on 30.10. 2015, Q.s 9 and 14.

However, it avoids direct collaboration with Länder-level DPOs based on the presumption that "they perceive their own interests, which has nothing to do with the CPRD". 1293

Thus, it becomes evident that the entangled position, controled inclusion and underfinanced mandate hinder the Austrian multi-level MF in ensuring broad participation of DPOs. This weakens their legitimacy and allows an assumption that they are a pawn of the state. 1294

3.3 Danish Monitoring Framework

The parliamentary Decision B15 of 17 December 2010, establishing the Danish MF accorded varying, and in some responsibility fields also overlapping competencies to the designated actors. However, as the sections below show, the mandates of the DIHR, Danish Disability Council (DDC) and Danish Parliamentary Ombudsman is de facto not as broad as it is required. 1296

3.3.1 Promotion

The responsibility of promoting the Convention is mainly assigned to the DDC and DIHR. The task of the DDC is to advise the central public administration, ministries and the parliament in matters concerning DPs. Since the recommendations of the Council shall reflect the interests of all its members, they undergo a difficult coordination process. Therefore, the effect of the recommendations on the decisions of the government

¹²⁹³ Second/third-level-interview AT/B-T 2, on 27.10.2015Q. 15. The original reads as follows:

[&]quot;Ich habe nicht das Gefühl, dass die Behindertenorganisationen selber den Kriterien entsprechen, die die BRK vorsieht (...) Menschen mit Behinderungen – ja, aber nicht die Organisation. Organisationen haben oft eigene Ziele bei uns in Österreich, eigene Interessen. Die Lebenshilfe beispielsweise (...)".

¹²⁹⁴ Renshaw, 2012.

¹²⁹⁵ Ventegodt Liisberg, 2013.

¹²⁹⁶ Principles relating to the Status of National Institutions. Competence and responsibilities 2; CRPD/C/1/Rev.l, annex. Para. 15; The SCA General Observation 1.2 provide for only promotion and protection Competencies, although it enlists 'monitoring' under the protection competence.

¹²⁹⁷ BEK nr 897 af 12/05/2021. Secs.34, 35 and 37.

¹²⁹⁸ Second-level-interview DK/A 1, on 01.12.2016, Q. 12.

and/or the parliament is hard to discern. The Council should also ensure dialogue and evaluate the disability-related processes within the society in light of the CPRD, promote inclusion and carry out awareness raising activities to eradicate discriminatory attitudes toward DPs. Nevertheless, as of December 2015, the Council did not make campaigns on the rights of DPs under the Convention and it has not taken the resources to inform about the Convention as such. 1301

The promotion competence of the DIHR comprises, primarily, providing information and advice on human rights of DPs to all the relevant actors through its thematic studies and press releases. ¹³⁰² It also informs the general public about the rights of DPs by submitting focused reports to the media and papers in academic journals ¹³⁰³ and through its own website.

As its task to promote the rights stipulated by the CPRD, the DIHR counsels the parliament and the government by advising and commenting on draft laws that might be important for ensuring and implementing the human rights of DPs in both disability-specific and indirect policy fields. 1304 However, the government's practice of accepting and applying the provided advice is "quite depressing". For instance, the DIHR pointed out the unacceptability of the use of force in psychiatry, 1306 and managed to persuade the government to amend the guidelines on support for disabled parents to underline that disabled parents have a right to support, to be parents. 1307 Moreover, the DIHR criticized the fact that there is no ban on discrimination on the grounds of disability outside of the labour market, as a result of which, in 2018, the government adopted a new law prohibiting discrimination on the grounds of disability that made it possible to file a complaint on the basis of disability discrimination outside of the labor market to the Danish Board of Equal Treatment. 1308 Nevertheless, the DIHR was not successful in convincing the government to include a requirement

¹²⁹⁹ Ibid.

¹³⁰⁰ BEK nr 897 af 12/05/2021, Section 35.

¹³⁰¹ Second-level-interview DK/A 1, on 01.12.2016, Q. 19.

¹³⁰² Bylaws of the DIHR, Sec. 2; see also Ventegodt Liisberg, 2013.

¹³⁰³ Second-level-interview DK/A 2, on 01.12.2016, Q. 7.

¹³⁰⁴ Bylaws of the DIHR. Sec, 5 (1.3).

¹³⁰⁵ Second-level-interview DK/A 2, on 01.12.2016, Q. 19.

¹³⁰⁶ Second-level-interview DK/A 2, on 01.12.2016, Q. 7.

¹³⁰⁷ Second-level-interview DK/A 2, on 01.12.2016, Q. 17.

¹³⁰⁸ Danish Institute for Human Rights, annual report to the Danish Parliament. 2019.

for reasonable accommodation¹³⁰⁹ that falls under the administrative powers of municipalities.¹³¹⁰ In indirect policy fields e.g., school education also falling under the administrative powers of municipalities, the DIHR did not achieve much success.¹³¹¹

While at the national level there is at least an opportunity and legally stipulated mandate to advise the government, the required functionality in general and advisory capacity in particular of the DIHR at the municipal level has not been ensured: "we as an institute have not been very good at promoting human rights at any local level because we are a national institution and we find it very difficult really to work with all the 98 municipalities (...) so basically (...) we are not carrying out our responsibilities under the CPRD at the local level." Accordingly, the promotion of the rights of DPs does not take place at the administrative level, which in view of the governmental structure of Denmark, 1314 might be seen as a serious inhibition of the effective implementation of the CPRD at the administrative level

3.3.2 Monitoring

The MFs under this competence should develop a system for assessing the impact of the implementation of direct and indirect legislation and policies; develop indicators and benchmarks; and maintain databases containing information on practices related to the implementation of the Convention. This means that they shall, in cooperation with relevant actors, including

¹³⁰⁹ Ibid.

¹³¹⁰ DPOD, 2013: 8 - 9.

¹³¹¹ Second-level-interview DK/A 2, on 01.12.2016, Q. 15.

¹³¹² Second-level-interview DK/A 1, on 01.12.2016, Q. 10; Second-level-interview DK/A2, on 01.12.2016, Qs. 5 and 7.

¹³¹³ Second-level-interview DK/A 2, on 01.12.2016, Q. 5. The original reads as follows: "No, so it has not but we as an institute have not been very good at promoting human rights at no local levels because we are a state institution and we find it very difficult really to work with all the municipalities. There are 98 and it's really difficult for us... we think, work with individual Municipalities but we try to work with the disability councils at the local level". "So basically, the answer to this question is that we are not monitoring the implementation at the local level, but we are trying to do it better. And become more active with these disability councils at the local level".

¹³¹⁴ See chapter IV part on Denmark.

¹³¹⁵ CRPD/C/1/Rev.1, annex. Paras.13 and 39d.

DPOs, FPs and CMs, continuously develop a data collection system¹³¹⁶ to facilitate the identification and bridging the gaps that prevent DPs — as rights holders — from fully enjoying their rights, as well as the gaps that infringe on duty bearers to fully discharge their legal obligations to respect, protect and fulfil the rights of DPs.¹³¹⁷

The duty to monitor the implementation of the Convention in Denmark has been primarily assigned to the DIHR. Accordingly, it collaborated with the Danish social research institute to develop the gold indicators. This helps in measuring the developments in 10 thematic areas. It also publishes annual status reports that are based on surveys and statistics provided by DIHR as well as other organizations or institutions, including ministries, other government agencies, universities and civil society organizations. For example, it conducted surveys of how municipalities act in relation to elderly persons/employees or how the public authorities and private companies undertake positive action.

The DIHR also uses its right of contributing to the reporting processes¹³²³ by submitting well-reflected parallel reports in relation to state reports to the CPRD Committee.

More specific, namely the monitoring responsibility under the Art. 16 Para. 3 CPRD¹³²⁴ is assigned to the Danish Parliamentary Ombudsman, who has been given a mandate to promote equal treatment of DPs based on the 1993 Parliamentary Decision establishing the Centre for Equal Treatment of DPs.¹³²⁵ Before getting a mandate under the Art. 33.2 of the CPRD,¹³²⁶ the ombudsman was assigned as the national preventive body

¹³¹⁶ CRPD/C/1/Rev.1, annex. Para.38.

¹³¹⁷ CRPD/C/1/Rev.1, annex. Para. 39c.

¹³¹⁸ Parliamentary Decision B15 of 17 December 2010.

¹³¹⁹ Second-level-interview DK/A 2, on 01.12.2016, Q. 13.

¹³²⁰ For more see: https://www.humanrights.dk/news/gold-indicators-measuring-1 0-key-thematic-areas-improve-situation-persons-disabilities (Last accessed on 01.07.2022).

¹³²¹ Ibid.

¹³²² Second-level-interview DK/A 2, on 01.12.2016, Q. 11.

¹³²³ CRPD/C/1/Rev.l, annex. Para. 23 d, f and g; See also, SCA, General Observation 1.4.

¹³²⁴ See the following section on Protection.

¹³²⁵ Act on Danish Parliamentary Ombudsman, No. 473 of 12 June 1996.

¹³²⁶ The initial report of Denmark, Para.386.

under the Optional Protocol to the UN OPCAT 2009¹³²⁷ and in 2012, he received a mandate to monitor the rights of children.¹³²⁸

3.3.3 Protection

In fact, only the Danish Parliamentary Ombudsman has a protection mandate. He has the required access to and interaction with any person, governmental organ, information, databases, records, facilities and premises. 1329 Most particularly, he carries out inspections of public administration, including psychiatric wards, and private institutions, where persons are or may be deprived of their personal liberty and private institutions responsible for tasks directly related to children. 1330 The inspections under OPCAT are carried out in dialogue with the Danish Rehabilitation Centre for Torture Victims and the Danish Institute for Human Rights. 1331 The ombudsman also examines the accessibility of the public authority buildings, 1332 and conducts investigations, 1333 handles individual complaints alleging breaches of the rights of DPs under the domestic law¹³³⁴ as it is required by the CPRD Committee. 1335 In case of violation, he makes recommendations and/or reports the matter to the Legal Affairs Committee of the Danish Parliament (Folketing), the minister, municipal council or regional council concerned. 1336 The recommendations of the Danish Parliamentary Ombudsman are not binding, but are perceived to be effective. 1337 However, in view of the fact that the Danish Parliamentary Ombudsman does not fully fulfil the principles concerning the formal institutional safeguards of

¹³²⁷ Lov nr. 502 AF 12. Juni 2009, som aendrer Lov om Folketingets Ombudsmand.

¹³²⁸ Supplementary Report of the Danish Parliamentary Ombudsman to the UN Committee on the Rights of the Child on Denmark's Fifth Periodic Report to the Committee. Doc.no. 16/03550–47, 2/23, Para. 4.

¹³²⁹ CRPD/C/1/Rev.1, annex. Para. 12.

¹³³⁰ The Act on Danish Parliamentary Ombudsman, No. 473 of 12 June 1996. Chapter 6 Sec. 19.

¹³³¹ See The page of the Ombudsman on Monitoring Visits at: https://en.ombudsman den.dk/introduction/Monitoring_visits/ (last accessed on 01.07.2022).

¹³³² Ibid.

¹³³³ The Act on Danish Parliamentary Ombudsman, No. 473 of 12 June 1996. Chapter 5.

¹³³⁴ The Act on Danish Parliamentary Ombudsman, Chapter 4.

¹³³⁵ CRPD/C/1/Rev.1, annex. Para. 13.

¹³³⁶ The Act on Danish Parliamentary Ombudsman, Chapter 7.

¹³³⁷ Abraham, 1968: 55-61.

a NHRI, it can neither be expected that he ensures impartial complaint case selection and or admission process nor that the decisions made will reflect the human-rights-based understanding of discrimination. The latter is best shown in his decision of September 2009, where he considered the right to education under Art. 24 in a complaint concerning an autistic child who had been placed in a school for disabled children against the will of its parents. The ombudsman came to the conclusion that the right to inclusive education under Art. 24 CPRD was just a right to be included in the Danish educational system, but it did not give a right to be placed in an ordinary school.

The jurisdiction of the parliamentary ombudsman extends to all parts of the public administration except the parliament, courts of justice, boards, which make satisfactory decisions on disputes between private parties and private entities. ¹³³⁹ In examining the local government, the ombudsman acts in accordance with the special operational conditions of the local government. ¹³⁴⁰

The DIHR, as part of its tasks, provides general advice to persons that have been discriminated on the ground of disability, ¹³⁴¹ but it does not have a mandate to conduct independent examinations of discrimination and handle complaints related to violations of CPRD provisions ¹³⁴² as it does in relation to protection against discrimination on the grounds of gender and race where the Institute has been appointed as the Equality Body. ¹³⁴³Nevertheless, it tries to carry proactive protection by interpreting its mandate more broadly. It, for example, documents the breaches of human rights through applied research, issues annual parliamentary reports on occurring violations and publishes them both in the national language and in English, ¹³⁴⁴ which exposes the wrongdoings of the state that might be costly and politically sensitive for the SP. ¹³⁴⁵

^{1338 &}quot;Henvisning af autistisk bam til specialskole ffem for enkeltintegrering i friskole", Ombudsmandens afgorelse af 24. September 2009, j.nr. 2009–1787–710.

 $^{1339 \ \} The \ Act \ on \ Danish \ Parliamentary \ Ombudsman, \ Chapter \ 2.$

¹³⁴⁰ The Act on Danish Parliamentary Ombudsman, Sec. 8.

¹³⁴¹ BYLAWS of THE DIHR. Sec. 5 (1).

¹³⁴² SCA Report, November 2017, 3.2 Point 4.

¹³⁴³ Ibid., Para. 5 (2).

¹³⁴⁴ DIHR publications can be found at: https://www.humanrights.dk/publications (Last accessed on 01.07.2022).

¹³⁴⁵ Kjaerum, 2009b.

The DIHR also applies a reactive protection instrument¹³⁴⁶ by litigating violations of human rights of DPs before the domestic and international courts and participates in third-party interventions.¹³⁴⁷ This certainly contributes to the domestic implementation of human rights of DPs,¹³⁴⁸ but it cannot replace the efficacy of the directly available and inclusively composed human-rights-based complaint mechanism at the domestic level, especially if we take into account the long-waiting time of international proceedings.

Although the DDC might treat general disability-related problems by requesting information on the decisions made, ¹³⁴⁹ it does not have protection competence. ¹³⁵⁰

3.3.4 Multi-level cooperation with state and non-state bodies

In accordance with its obligation, the Danish MF cooperates and interacts with all relevant institutions both at the international, supranational and national levels. The required cooperation with the international actors, especially the CPRD Committee¹³⁵¹ takes place through DIHR in the framework of state reporting, contribution to general discussions and General Comments, as well as support in communication and inquiry procedures under the Optional Protocol to CPRD. The DIHR is also the voting member of the European National Human Rights Institutions¹³⁵² and participates at the annual CPRD Work Forum on the EU's implementation of the CPRD,¹³⁵³ which brings together a wide range of civil society organizations, NHRIs and EU actors.

¹³⁴⁶ CRPD/C/1/Rev.1, annex. Para. 15.

¹³⁴⁷ See for example: Supreme Court of Denmark, Case no. 16/2016. Judgment delivered on 22 December 2016; ECTHR Judgment Strøbye and Rosenlind v. Denmark, applications (nos. 25802/18 and 27338/18).

¹³⁴⁸ Welch/Haglund, 2017.

¹³⁴⁹ BEK nr 897 af 12/05/2021, Sec. 36 PCS. 2.

¹³⁵⁰ Bek nr 1635 af 22 December 2010, kapitel 11; BEK nr 897 af 12/05/2021, Sec.35 PCS.3.

¹³⁵¹ CRPD/C/1/Rev.1, annex. Part III.

¹³⁵² See the webpage of ENNHRI voting members at: https://ennhri.org/our-members/ (Last accessed on 01.07.2022).

¹³⁵³ For more see: https://www.euro.centre.org/projects/detail/3373 (Last accessed on 01.07.2022).

At the national level, Denmark ensured the required¹³⁵⁴ mutual collaboration and coordination between the designated MF actors through meetings and/or being a member in each other's organs. Consultations with other bodies responsible for the promotion and protection of general human rights¹³⁵⁵ is also ensured.

The MF also collaborates with the executive and legislative organs. The cooperation with the legislator takes place through the DIHR annual reports to the parliament. The regular and timely cooperation and interaction of the MF with the executive branch and its FP and Coordinating Mechanism takes place through the formalized structures as it is suggested by the CPRD Committee. Most particularly, the DIHR and the Ministry of Social Affairs concluded a memorandum of understanding on their cooperation, which envisages 2 annual meetings and regular exchanges of information and opinions. Nevertheless, The Danish government did not consult the DIHR during the preparation of the initial state report except an open meeting. The access of the DIHR to such processes seems to be rather limited, which means that it complies with the requirement that NHRIs should neither prepare the country report nor report on behalf of the government.

The mutual collaboration between DDC and the central, regional and municipal governments is ensured through its composition. ¹³⁶¹ Nevertheless, the collaboration of the DDC with the FP is based more on and governed by the principle of subordination, whereas the DIHR, thanks to its independence, enjoys equal-level positioning in collaborating with the government.

The necessary close collaboration of the Danish MF with the DPOs¹³⁶² takes place through DIHR and DDC. The DDC is the main actor responsible for DPO involvement in the monitoring processes.¹³⁶³ However,

¹³⁵⁴ CRPD/C/1/Rev.1, annex, Para. 14.

¹³⁵⁵ Principles relating to the Status of National Institutions. Methods of operation F.

¹³⁵⁶ Bylaws of the DIHR, Sec. 5.3.

¹³⁵⁷ CRPD/C/1/Rev.1, annex. Para. 21.

¹³⁵⁸ Ventegodt Liisberg, 2013.

¹³⁵⁹ Ventegodt Liisberg, 2013.

¹³⁶⁰ SCA, General Observations, 1.4.

¹³⁶¹ BEK nr 897 af 12/05/2021, Sec.38.

¹³⁶² CRPD/C/1/Rev.l, annex. Paras. 2, 3, 5, 20, 39e; See also CPRD Committee, General Comment No. 7. Paras. 34 – 39.

¹³⁶³ Initial report of Denmark, Para.384.

the DPO appointment is limited to exclusive nomination right of the DPOD. 1364 The Danish Parliamentary Ombudsman office cooperates with the Danish Rehabilitation Centre for Torture Victims and the DIHR, 1365 but there is no formal cooperation with the DPOs or their umbrella organization. The collaboration of the DPOs in the promotion and monitoring work of the DIHR is limited to the single representative of the umbrella organization of DPOs. Regular involvement and cooperation with DPOs outside of this national structure has not been envisaged. In the best case, they might take part at irregular focused meetings of the DIHR.

Accordingly, the required 1366 inclusion of DPOs in the independent MF and the work thereof has been ensured only for selected DPOD member organizations and in a few responsibility fields falling under the promotion mandate of the DDC. Their involvement in the human rights oriented promotion, protection and monitoring activities is not ensured, whereas the CPRD Committee states, "independent MF should ensure the full involvement and participation of DPs and their representative organizations in all areas of its work". 1367 Besides, the exclusive participation and nomination rights, apparently, hinders the comprehensive and effective access of multi-level and diverse DPOs to all working processes 1368 of the Danish MF, whereas the CPRD Committee in its General Comment No. 7 explicitly states that "the existence of umbrella organizations within SPs should not, under any circumstances, hinder individuals or organizations of DPs from participating in consultations or other forms of promoting the interests of DPs."1369 The lack of involvement and collaboration, in turn, impede the national and especially municipal DPOs from developing a human-rights-based understanding of disability, which leads to weaker (if any) implementation of the CPRD at the municipal level.

¹³⁶⁴ BEK nr 897 af 12/05/2021, Sec.38 PCS 2.1.

¹³⁶⁵ See The page of the Ombudsman on Monitoring Visits at: https://en.ombudsman den.dk/introduction/Monitoring_visits/ (Last accessed on 01.07.2022).

¹³⁶⁶ CRPD/C/1/Rev.1, annex. Para.20; See also CPRD Committee, General Comment No. 7. Paras. 39 and 94j.

¹³⁶⁷ CRPD/C/1/Rev.1, annex, Para. 20.

¹³⁶⁸ CRPD/C/1/Rev.1, annex. Para. 20; See also CPRD Committee, General Comment No. 7. Paras. 39 and 94j.

¹³⁶⁹ CPRD Committee, General Comment No. 7, Para. 12a.

4. Comparative Evaluation

In the aftermath of World War II, a large number of states took the path of fundamental rights, 1370 by signing and ratifying International Treaties on individual human rights of specific groups, ¹³⁷¹ as well as enshrining the right to equal treatment 1372 and respect for human rights in their constitutions. This allowed judicial consideration of human rights, but did not prevent human rights violations at the domestic level. 1373 Accordingly, the United Nations initiated the promotion of the establishment of the NHRIs, and laid down a framework for NHRI design. 1374 To this end, the OPCAT contained a provision ensuring a domestic monitoring mechanism. 1375 However, soon it became clear that effective implementation of International Human Rights Treaties cannot be reached solely by installing Paris Principles compliant NHRIs. There was rather a need for an inclusive domestic structure that would enable comprehensive and systematic monitoring across the SP. The term "inclusive domestic structure" hereby addresses the form, methods, capacity and possibility of these actors in interacting with multi-sectoral and multi-level constitutional organs of states and non-state actors.

With the adoption of the CPRD in 2006, the inclusive monitoring structure became a binding requirement.¹³⁷⁶ Nevertheless, in analysing the structural implementation of the Monitoring Mechanisms under the Art. 33 Para. 2 CPRD from a comparative standpoint, it becomes clear that, by and large, each SP has chosen a different way of implementation. Even SPs that have similar political structures like Germany and Austria went different ways in ensuring formal institutional safeguards. This, as the evaluation that has been carried out based on an adjusted analytical framework of Katerina Linos and Tom Pegram's model, ¹³⁷⁷ will show below, impacts the efficacy of designated/established Monitoring Mechanisms.

¹³⁷⁰ Boli/Thomas, 2000.

¹³⁷¹ Simmons, 2009.

¹³⁷² Elkins/Ginsburg/Simmons, 2013; Ramirez/Soysal/Shanahan, 1997.

¹³⁷³ See e.g., Hafner-Burton/Tsutsui, 2007; Hathaway, 2002; Christopher J. Fariss, 2014; Goodman/Jinks, 2003.

¹³⁷⁴ See Linos/Pegram, 2016.

¹³⁷⁵ OPCAT, Art. 3.

¹³⁷⁶ CPRD, Art. 33.

¹³⁷⁷ Linos/Pegram 2017.

4.1 Legal Status

In laying down the principles relating to the status of NHRIs, the drafters agreed that the higher the status of the instrument establishing the National Institution in a country's legislative hierarchy, the easier it would be for the institution to ensure that its independence was respected. All three examined SPs regulated the status and mandate of the designated/established monitoring actors through legislative texts. The federal government of Austria, however, opted for a new body and merged its regulation with the Federal Disability Act (BBG), which might make easier the amendment to the status, mandate and composition of the FMC.

4.2 Multi-level competence

In consideration of particular needs at the national level,¹³⁷⁹ the SPs to the CPRD with federal or decentralized administrations should ensure that the established or designated federal or national MFs "can properly discharge their functions at the federal, state, provincial, regional and local levels." For this purpose, the SPs might establish NHRI that shall, within the framework of its operation, set up local or regional sections to assist it in discharging its functions." Is a suppose the set of the national level, set of the control of the set of the set of the national level, set of the set of the set of the set of the national level, set of the set of the set of the national level, set of the set

The examination shows, however, that the Monitoring Mechanisms do not have the needed multi-level functionality: for instance, the German Monitoring Mechanism has an established position at the federal level, but its consistent, comprehensive and permanent functionality at the Länder-level has been ensured only in 2 out of 16 federal states. I observed a similar picture also in Denmark, where the MF has an explicit mandate at the central government level, but no access to municipalities, which have autonomous powers to administer almost all disability-related policies. The FMC of Austria has been given mandate to promote and monitor the CPRD only at the federal level. Accordingly, the Länder-level monitoring has become possible only after 8 Austrian provincial Monitoring Mechan-

¹³⁷⁸ E/CN.4/1992/43, 16 December 1991. Paras. 26, 27 and 111 -167; see also Meuwissen, 2015.

¹³⁷⁹ Vienna Declaration and Programme of Action. Para. 36.

¹³⁸⁰ CRPD/C/1/Rev.1, annex. Para. 18.

¹³⁸¹ Principles relating to the Status of National Institutions. Methods of operation E.

isms have been given legal status, 1382 but these were and still are very limited.

Thus, it might be assumed that the SPs ensured, if not inclusive, but at least adequate promotion and monitoring structures at the national/federal governmental level. However, the regulations that would ensure functionality or work of these bodies at the state/provincial and municipal levels has been either not ensured or have been adopted with considerable delay and weakness. This, presumably, affected the understanding, acceptance and implementation of the human rights of DPs at the state/local levels of SPs with federal or decentralized political structures: in over 12 years of CPRD ratification, the provision of accessibility and inclusive education has not been ensured in 16 federal states of Germany. Similar picture can be observed also in Austria. Besides, laws of 9 Austrian provinces regulating support measures for DPs are not based on a human rights understanding of disability and vary from province to province; rules of effective and inclusive access to and assistance for the primary and secondary schools differ across the 98 municipalities of Denmark. 1383

4.3 Broad Mandate

The next important measure for ensuring an effective and functional Monitoring Mechanism is to guaranty the de jure broad mandate, and encompassing the promotion, protection and monitoring of all rights enshrined in the Convention. Nevertheless, it became clear that the designated/established Monitoring Mechanisms do not have the required broad mandate.

All in all, all examined Monitoring Mechanisms have de jure promotion competences, but the scope of their responsibilities deviates from the CPRD Committee requirements.¹³⁸⁶

¹³⁸² In over 11 years of CPRD ratification, 8 out of 9 provinces have more or less functional monitoring mechanisms. Corinthian monitoring committee started its work only in June 2020, whereas Monitoring Mechanism in Upper Austria is, in fact, inactive after its establishment due to lack of infrastructural safeguards. For more see the 2018 parallel report of the FMC.

¹³⁸³ For more see chapter IV.

¹³⁸⁴ Principles relating to the Status of National Institutions. Competence and responsibilities 2.

¹³⁸⁵ CRPD/C/1/Rev.1, annex. Para. 15.

¹³⁸⁶ CRPD/C/1/Rev.1, annex. Para. 13.

4.3.1 Promotion mandate

The GIHR, for example, has a comprehensive federal-level mandate to promote the CPRD implementation, whereas the Danish MF does not offer special trainings on CPRD. Austrian MF has promotion competence, but these activities are, on the one hand, based solely on experiences of selective affected persons, on the other hand, limited to participation at the legislative processes and awareness raising activities through public meetings. It offers neither a general nor specific human rights training on the CPRD. Most possibly, this impacts the human rights understanding of disability in the decisions and actions of both the federal and provincial state actors responsible for policy fields not affecting DPs directly, as well as non-state actors,.¹³⁸⁷ I observed a similar tendency at the state and/or municipal levels of Germany and Denmark.¹³⁸⁸ I observed the same at the state and/or municipal levels of Germany and Denmark. 1389 In addition, the regulation on Austrian MF does not envisage reporting to the federal/provincial parliaments, whereas it is perceived to be one of the effective instruments for informing the general public and legislators about the human rights violations of the SP and making recommendations for effective redress. 1390

4.3.2 Monitoring mandate

All Monitoring Mechanisms have monitoring competences, but their functionality does not fully comply with the CPRD Committee requirements. For instance, the GIHR/NMB and DIHR have developed evaluation systems, whereas, I could not discern a clearly defined human rights measurement system in the evaluation work of the Austrian MF. Albeit not comprehensive but all Monitoring Mechanisms have access to programs serving DPs, but access to facilities serving DPs is ensured only in public facilities

of Denmark and Austria. 1392 This means that Germany does not have an

¹³⁸⁷ For more see chapters IV and VI part on Austria.

¹³⁸⁸ See Chapters IV and VI.

¹³⁸⁹ See Chapters IV and VI.

¹³⁹⁰ Brodie, 2015: 1242-1243.

¹³⁹¹ CRPD/C/1/Rev.1, annex. Paras. 12 and 13; CPRD, Art. 16 (3).

¹³⁹² This task, however, has been assigned to the Austrian Ombudsman Board, which unlike the MF has Paris Principles B-status.

Independent Mechanism that would monitor the human rights compliance of disability related facilities that fall under the legislative powers of federal states. Even the two federal states that mandated the GIHR/NMB with the monitoring of the CPRD implementation did not foresee such a function. Accordingly, the irregularities or even severe human rights violations persist up to date. And normally do not become subject of judicial proceedings. Therefore, there is a need for further examinations concerning the role and possibilities of Independent Mechanisms in preventing violence and human rights violations in facilities for DPs.

4.3.3 Protection mandate

According to the CPRD Committee, the MF shall handle individual and group complaints alleging violations of the rights guaranteed under the Convention either by referring the cases to the judiciary, including as part of its ability to follow up on its own recommendations¹³⁹⁴ or by acting as a quasi-judicial body. For this purpose they "must have expeditious and full access to information, databases, records, facilities and premises, both in urban and rural or remote areas; it must have unrestricted access to and interaction with any persons, entities, organizations or governmental bodies with which it requires to be in contact; its requests are addressed properly and in a timely manner by implementing bodies." The present examination showed, however, that none of the designated/established MFs comply with this requirement.

The GIHR/NMB does not have a protection mandate, but it submits third-party interventions both at the domestic and international levels. Although the Austrian MF has access to all documents and facilities, it does not have a de jure protection mandate and de facto does not take steps to protect the rights of DPs through litigation. Denmark, instead, assigned the protection competence to the Danish Parliamentary Ombudsman, which means that human-rights-based protection has not been ensured in cases of conflicts of norms. Nonetheless, the DIHR tries to reach human rights enforcement through strategic litigation by interpreting its mandate broadly.

¹³⁹³ Schröttle et al., 2014; Wazlawik/Freck, 2016; Lorenz, 2020.

¹³⁹⁴ SCA, General Observations 1.6.

¹³⁹⁵ CRPD/C/1/Rev.1, annex. Para. 12.

¹³⁹⁶ For more see chapter IV Part on Denmark.

Actually, the protection mandate as such is optional under the Paris Principles¹³⁹⁷ and seen as an unnecessary instrument in liberal democracies with established judicial systems, 1398 but as Felix Welti puts it, "the consideration of the CPRD by the judges of the social justice system cannot be taken for granted because, on the one hand, the CPRD cannot have been the part of their legal education and traineeships, on the other hand, the CPRD can be unknown to judges outside of Social Law (e.g., educational, administrative, construction and building laws) since the judges who work according to the specialist chamber principle perceive new legal instruments, primarily, when it affects their particular area". 1399 Besides, as the present study showed, the inconsistent and ineffective consideration of the CPRD in areas not affecting DPs directly might be even stronger in the SPs, where CPRD has been ratified but not incorporated, such as in Denmark or in SPs with shared or exclusive legislative and/or administrative powers of the state/provincial/municipal governments. In view of this, I find that the human-rights-based multi-level complaints handling mechanism assigned to an independent Paris Principle compliant institution could not only raise public legitimacy of these mechanisms¹⁴⁰⁰ but also be an effective way to implement the human rights of DPs across the SPs.

4.4 Inclusive composition and independence

Scholars believe that the value of a NHRI is that its distance, conversely, enables it to act as a bridge or mediate between government and non-government entities – a partner – trusted yet separate from both. This means that they have to have a capacity of independent collaboration both with the state and non-state actors. Independence, hereby, plays a decisive role and is subject to strict regulations requiring plural representation of CSOs and DPOs, in this case, as well as inclusion of governmental/parliamentary representatives with an advisory vote or simply in advisory organs of the Monitoring Mechanisms. The CPRD Committee, in fact, does not welcome

¹³⁹⁷ Beco/Murray, 2014: 101-112.

¹³⁹⁸ Nußberger, 2012.

¹³⁹⁹ Welti, 2016: 635-658.

¹⁴⁰⁰ Carver, 2000; Pegram, 2011; Linos/Pegram, 2015; For the general discussion on legitimacy see Goodman and Pegram, 2012.

¹⁴⁰¹ Beco, 2007; Beco/Murray, 2014.

this arrangement, ¹⁴⁰² but the Art. 33 of the CPRD requires establishment of a hybrid national structure which, in addition to CSO/DPO collaboration, denotes cooperative links to the executive and legislative organs of the state in so far as its efficacy is concerned.

The present study showed, however, that not all SPs guaranty the right balance between state and non-state actors and fail, by and large, in ensuring multi-level representation of CSOs and especially DPOs.

4.4.1 Non-state actors

The German NMB, for example, fulfils the requirement of plural DPO representation both through inclusion in its main decision-making organ and through regularly organized meetings with the federal-level DPOs. The DPO representative in the main decision-making organ of the GIHR comes from the German Disability Council. Nevertheless, organizations of and for DPs of the federal states remain outside of this framework. Denmark has a comprehensive representation of CSOs in the DIHR, but the DPO representation is limited to umbrella DPO. Similar structure is in place also in the DDC, which includes 5 members of national DPO that are nominated by the umbrella DPO. Local level disability councils have a similar composition, but they are not part of the national MF of Denmark. The regular representation of CSOs in the work of the Danish Parliamentary Ombudsman is not ensured.

The path chosen by Austrian MF diverge from that of German NMB and Danish MF: here the number of DPO representatives is equal to that of other members, but they should, similar to Denmark, be nominated by the umbrella DPO and by a provincial Antidiscrimination Commissioner. It is worth of mentioning that the Austrian FMC explicitly forbids the membership of non-Austrian/EU citizens.¹⁴⁰³

The comparison, in the first place shows the dissimilar practises of MFs in including DPOs in their work: while GIHR, in general, has a broad federal-level involvement structure, Denmark and Austria maintain controlled, in transparent and limited inclusion frameworks. As a result, only privileged organizations have access to MFs and the rest, namely the overwhelming majority of DPOs do not have a possibility to participate in the human-rights-based monitoring processes. Accordingly, they have no

^{1402 (}CRPD/C/1/Rev.1, annex), Para. 22.

¹⁴⁰³ BBG, §13 J (3).

opportunity to develop human rights understandings of disability, which affects the comprehensive implementation of the CPRD.

Besides, the special route taken by Austria, namely ensuring the majority of disability organizations in the FMC, might be in line with the CPRD requirement, 1404 but the overrepresentation of DPOs is in contrast to the requirement of independence as it functions more as a substitute of the CSOs/DPOs than as a neutral body. Accordingly, the Austrian FMC does not have the weight of the GIHR/DIHR since it is seen primarily as a representative body of the civil society.

4.4.2 State actors

The NMB does not have representatives of the executive with voting rights. 2 out of 8 parliamentary appointees are NPS of the federal parliament. It also includes a member of the Federal Council and several governmental commissioners, including the Federal Disability Commissioner. The Danish Parliamentary Ombudsman has his own staff, but the Ombudsmen Law does not contain a provision on plurality thereof. The members of the DDC Advisory Board include central and local level governmental representatives. The DIHR not only has governmental representation with a voting right on its decision-making organ, but also allows the governmental representative to chair its decision-making organ. After long criticism, the Austrian federal government refrained from governmental representation with voting rights to the MC with the 2017 amendment of the BBG. The provincial antidiscrimination commissioners, who are appointed by the government, chair the provincial MCs. They, normally, nominate the committee members.

Against this background, it becomes clear that all examined actors of the MFs maintain some sort of governmental representation. This, in combination with other factors e.g., in transparent nomination, governmental appointment and dismissal practices, or dependant infrastructure lead to disproportional representation of the state organs, which constitutes a serious obstacle for the independence of the MFs. 1405

In evaluating the inclusion of state and non-state actors in the work of the MFs, the study revealed convergence in non-existent or weak inclusive structures at the state/provincial and municipal levels: despite the federal

¹⁴⁰⁴ Beco, 2011.

¹⁴⁰⁵ See below.

structure of Germany and highly decentralised administrative structure of Denmark, the local presence of both German and Danish MFs has not been ensured. Instead, the national MFs opted for their representation at the federal/national governmental level. This, in fact, can raise the legitimacy of MFs among state actors, but in the case of Germany, where federal states have extensive disability-related and disability specific exclusive legislative and administrative powers, it cannot replace their direct involvement in the local-centred Paris Principles compliant sub-MFs. The representation of the state actors in the non-Paris Principles compliant MF, instead, might lead to subordination as the case of Tyrol clearly shows.

A similar picture could be observed with regard to state/provincial/municipal level DPO inclusion: while the GIHR ensured the comprehensive inclusion of federal-level DPOs, all interviewed DPOs had a solid understanding of human rights of DPs and effectively applied it during policy-making processes, whereas the Länder-level DPOs were aware of CPRD, but as the evaluation of legislative processes in Hesse and Thuringia showed, it has not been applied in the policy fields not affecting DPs directly. 1406 As a consequence, the provisions of the CPRD that fall under the legislative powers of the federal states, e.g., school education and accessibility have not found adequate implementation in over 12 years of ratification. 1407 Human rights understanding of disability at the national/federal level in Denmark and Austria could be discerned only in organizations that have been involved in MFs. Sub-nationally active organizations instead appeared not to apply the CPRD in their work. 1408 Accordingly, in policy fields that fall under the legislative powers of the federal states or administrative powers of municipalities, no significant dynamics of CPRD implementation could be identified.1409

¹⁴⁰⁶ Grigoryan, 2021.

¹⁴⁰⁷ See chapter IV part on Germany.

¹⁴⁰⁸ It should be underlined that the TyroleanMonitoring Committee, for example includes DPs, but not DPOs. For more on the work of Austrian DPOs see Chapter VI.

¹⁴⁰⁹ See chapter IV Parts on Austria and Denmark.

4.5 Appointment and dismissal

To ensure the independence and public legitimacy of MFs, ¹⁴¹⁰ their members are to be nominated in a public, democratic, transparent and participatory manner, ¹⁴¹¹ the nomination should, preferably, be approved by the parliament. ¹⁴¹² Executive approvals have to be avoided since these practices are perceived as political bias. ¹⁴¹³ The legislation establishing the NHRIs should also contain independent and objective dismissal procedures, with reasons "clearly defined", and not left to the discretion of those appointing the members. ¹⁴¹⁴ To this end, the dismissal should be based only on "serious grounds of misconduct or incompetence" and enacted with "fair procedures". ¹⁴¹⁵ Besides, the dismissal of members by the executive is incompatible with the independence of the MFs. ¹⁴¹⁶

The comparative examination showed, however, that the designated/established MFs, by and large, do not follow these rules: for instance, the nomination of a representative of the German Disability Council to the decision-making organ of the GIHR, functions on the rotation principal, whereas half of the members to the decision-making organ of the GIHR are approved by the federal parliament, two of which are MPs of the Bundestag, the remaining 6 are nominated by the represented parties of the Bundestag. However, there is no regulation specifying the nomination procedure and setting-up human rights orientation of the nominees. The Danish and Austrian umbrella DPOs and the Austrian Antidiscrimination Commissioners also do not have clear nomination regulations for the MFs. The Danish Parliamentary Ombudsman is elected and can be dismissed by

¹⁴¹⁰ Carver, 2005.

¹⁴¹¹ CRPD/C/1/Rev.l, annex. Para 15a; According to SCA General Observations 1.8, these requirements can be achieved by:

A. Publicizing vacancies broadly;

B. Maximizing the number of potential candidates from a wide range of societal groups;

C. Promoting broad consultation and/or participation in the application, screening, selection and appointment process;

D. Assess applicants on the basis of pre-determined, objective and publicly available criteria.

¹⁴¹² Carver, 2005: 14.

¹⁴¹³ Ibid.

¹⁴¹⁴ SCA, General Observations 2.1.

¹⁴¹⁵ Ibid.

¹⁴¹⁶ SCA, General Observations 2.1.

¹⁴¹⁷ For more see chapter VI part on Germany.

the parliament. Nonetheless, the reason of the justified dismissal is unclear. The DDC secretariat and Board are located in and governed by the Social Ministry. The members of the DDC Advisory Board are approved and dismissed by the Minister of Social Affairs. The federal/national governments of Germany and Denmark have no say in dismissal procedures of NMB and DIHR members. The members to the Austrian MF are approved and dismissed by the federal government.

Thus, it becomes clear that all three MFs of the SPs do not have transparent nomination procedures. This, on the one hand, puts the legitimacy and the independence of designated/established MFs under question. On the other hand, it prevents plural representation of social forces. The none-transparent nomination practises, besides, raise the influence of the government, as it is the case in the Länder-level in Austria or the governing party as it is in Germany.

In addition, all designated Monitoring Mechanisms except DIHR and GIHR, maintain appointment and dismissal rules that make them subordinate to and functionally dependant on the executive.

4.6 Adequate infrastructure

In order to be independent of the government and not be subject to financial control that might affect its independence, the MFs should have adequate funding that would enable them to have their own staff and premises,¹⁴¹⁸ This means that they shall have complete financial autonomy as a guarantee of their overall freedom to determine their priorities and activities.¹⁴¹⁹ If the NHRIs are given additional responsibilities e.g., CPRD monitoring, it should be allocated additional financial resources to discharge these functions¹⁴²⁰ at all governmental levels.¹⁴²¹

In evaluating the financial equipment of the MFs, it became clear that German and Danish MFs had federal/national level funding from the beginning of their designation. Local level funding has been either completely missing as it was the case with the DDC and DIHR or available for highly limited/punctual parts of the SP, as it is in Germany. Federal level funding

¹⁴¹⁸ Principles relating to the Status of National Institutions (Composition); SCA, General Observations, 2.7 – 2.9.

¹⁴¹⁹ SCA, General Observations 1.10; See also, CRPD/C/1/Rev.1, annex. Para. 15 B – E.

¹⁴²⁰ SCA, General Observations 1.10; See also CRPD/C/1/Rev.1, annex. Para. 11.

^{1421 (}CRPD/C/1/Rev.1, annex), Paras, 18 and 19.

has been ensured starting in 2018, whereas the guaranty of financial independence at the local level still hangs in the air.

From the examined Monitoring Mechanisms only GIHR and DIHR have their own premises as of their designation. Austrian FMC obtained this opportunity only in 2018.

German and Danish MFs have paid staff. Austrian FMC, instead, might have three employees starting from 2018, whereas the local level does not have paid staff.

While initially the GIHR received an additional budget for the CPRD Mandate, as of 2016 it, similar to Danish MF, gets general allocations. The Austrian MF, as such, does not have funding for its activities.

In evaluating the infrastructure of the three MFs in light of their mandate and functionality, I could observe two similarities: the German and Danish MFs, where at least 1 mechanism is a NHRI, have, by and large, adequate human and financial resources for carrying out their mandate at the federal/national-level: while the German and Danish MFs became an indivisible and influential part of the legal and political processes, the Austrian MF still struggles with the issue of getting structural and financial independence that would ensure its human-rights-based and CPRD-compliant functioning.

However, the failure of Germany and Denmark to ensure the same level of structural and financial independence of the Monitoring Bodies at the state and municipal levels leads to convergence with Austrian structural implementation at the Länder-level: at this level the Monitoring Mechanisms of all SPs are not in the position to discharge their comprehensive responsibilities under Art. 33 Para. 2 of the CPRD.

4.7 Accessibility

In assessing the accessibility of designated/established MFs, I could observe far-reaching negative convergence: for instance, the deaf and learning disabled are, as a matter of fact, not included in the work of the MFs, primarily, because of costs connected with the sign/easy-to-speak language translation. The disability-specific assistance of disabled members has been explicitly regulated for DDC¹⁴²² and at the Länder-level in Tyrol, whereas

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¹⁴²² Bekendtgørelse om retssikkerhed og administration på det sociale område, Sec. 40 PCS. 2.

the coverage of the voluntary work of DPs in the NMB and DIHR has not been clarified. 1423

Unlike the DIHR all other Monitoring Mechanisms can be accessed by physically disabled, whereas the comprehensive accessibility and usability of all MFs for otherwise DPs has not been ensured. The websites and their content, including reports and thematic studies of non-disability specific Monitoring Mechanisms are not accessible. 1424

To this end, it might be assumed that the National Human Rights Institutes in comparison to disability-specific Monitoring Mechanisms, if well equipped, can have considerable weight in promoting and monitoring the rights of DPs, but their structural accessibility is not ensured for all disabled groups. As a consequence, the required plural participation of DPs in all stages of the work of MFs does not take place. This, in turn, might make the voices of the most vulnerable disabled groups unheard.

4.8 Cooperation at the vertical and horizontal governmental levels

The close collaboration with relevant international, supranational and national actors is an indivisible part of the MFs responsibilities. In general, the collaboration is based on four main pillars, namely the CPRD Committee, relevant supranational actors, national FPs/CMs and DPOs.

In examining the collaboration with the CPRD, I could observe positive convergence: all MFs carried out their reporting obligations on time and objectively. Full supranational collaborations have been ensured in German and Danish cases, whereas the participation of the Austrian MF in the supranational cooperation structures is limited due to their unrecognized status. Accordingly, it has no access to litigation efforts of ENNHRI.

At the national level, all MFs have maintained the required¹⁴²⁶ links to other relevant actors at the federal/national level, but efforts ensuring effective, comprehensive and multi-sectional cooperation at the state/provincial/municipal levels could not be identified.

¹⁴²³ For more see chapter VI.

¹⁴²⁴ The GIHR started to address the web accessibility only as of September 2020.

^{1425 (}CRPD/C/1/Rev.1, annex) Para. 20.

¹⁴²⁶ Principles relating to the Status of National Institutions. Methods of operation F.

The cornerstone of Art. 33 is based, in fact, on the requirement of close collaboration with the FPs/CMs. 1427 This, however, has been comprehensively ensured only at the federal/national level. At the state/provincial and municipal level, the cooperation has proved to be either incomprehensive or punctual, as it is the case with Germany, subordinate as it is in Austria or completely missing as it is with all administrative levels of SPs.

The institutional cooperation with the DPOs outside of the structural framework of the MFs is ensured only at the federal level in Germany. Austria holds public meetings and Danish MF maintains irregular meetings with interested DPOs. The local level external cooperation is convergent with the internal DPO cooperation, meaning that it is almost non-existent.

Against this background, it might be assumed that the stipulated structural change has been effective at the federal/national level. However, this, in view of legal and political structures of SPs cannot be viewed as sufficient since the envisaged structural cooperation with other more relevant executive, legislative and/or administrative organs of SPs does not take place.

¹⁴²⁷ It should be noted that not all SPs designated separate CMs. None of the SPs has a state/provincial/municipal CM. The Danish CM has been perceived as dysfunctional. For more see chapter IV.