# YOUNG Rechtswissenschaft ACADEMICS

Angelika-Naemi Wendelin

Protection of Human Trafficking Victims

The Positive State Obligations under Article 4 of the European Convention on Human Rights

## YOUNG ACADEMICS

Rechtswissenschaft | 13

Angelika-Naemi Wendelin

### Protection of Human Trafficking Victims

The Positive State Obligations under Article 4 of the European Convention on Human Rights

With a Foreword by ao. Univ.-Prof. Mag. Dr. Gerd Oberleitner

#### Mit freundlicher Unterstützung der Stadt Graz



#### The Deutsche Nationalbibliothek lists this publication in the

Deutsche Nationalbibliografie; detailed bibliographic data are available on the Internet at http://dnb.d-nb.de

ISBN 978-3-68900-029-5 (Print)

978-3-68900-030-1 (ePDF)

#### British Library Cataloguing-in-Publication Data

A catalogue record for this book is available from the British Library.

ISBN 978-3-68900-029-5 (Print)

978-3-68900-030-1 (ePDF)

#### Library of Congress Cataloging-in-Publication Data

Angelika-Naemi Wendelin

Protection of Human Trafficking Victims

The Positive State Obligations under Article 4 of the European Convention on Human Rights

Young Academics: Rechtswissenschaft; Vol. 13

ISSN 2940-0651

118 pp.

Includes bibliographic references.

ISBN 978-3-68900-029-5 (Print)

978-3-68900-030-1 (ePDF)

1st Edition 2024

Published by

Nomos Verlagsgesellschaft mbH & Co. KG Waldseestr. 3–5 | 76530 Baden-Baden www.nomos.de | www.tectum-verlag.de

Production of the printed version:

Nomos Verlagsgesellschaft mbH & Co. KG

Waldseestr. 3-5 | 76530 Baden-Baden

ISBN 978-3-68900-029-5 (Print)

978-3-68900-030-1 (ePDF)

DOI https://doi.org/10.5771/9783689000301



This work is licensed under a Creative Commons Attribution

- Non Commercial - No Derivations 4.0 International License.



Onlineversion

Tectum eLibrary

#### Foreword

Human trafficking is a global phenomenon that is also pervasive in Europe, exacerbated by crisis situations such as the recent pandemic, by mass migration, and the war in Ukraine, rendering numerous individuals vulnerable to exploitation. In response to this multifaceted challenge, the European Court of Human Rights has, in its more recent case law, introduced positive state obligations pertaining to the protection of victims within the framework of Article 4 of the European Convention on Human Rights (on the prohibition of slavery and forced labour). In this publication (which was submitted as her diploma thesis in law), Angelika-Naemi Wendelin explores the scope of these state obligations and seeks to identify potential gaps within the European Convention on Human Rights concerning the protection of victims of human trafficking. She further analyses the law and practice of Austria in a national case study to assess the country's adherence to these positive state obligations.

This publication starts with a succinct overview of the relevant legal instruments cited and considered by the European Court of Human Rights in its case law on the protection of human trafficking victims. The main part consists of a thorough and comprehensive analysis of the relevant human trafficking cases before the European Court of Human Rights. It focuses on the scope of Article 4 in light of the issue of human trafficking and the positive state obligations arising from the Convention. Drawing insights from this analysis of case law, Angelika-Naemi Wendelin examines Austrian legislation and how

#### Foreword

cases of human trafficking victims are dealt with in practice. In the concluding chapter, the findings derived from the preceding analysis are synthesized to provide conclusive insights.

Given the increasing number of human trafficking cases adjudicated by the European Court of Human rights in recent years, this is a timely publication which provides an up-to-date analysis of relevant case law on the subject matter, distinguished by its clear structure, comprehensive research, and precise argumentation. Angelika-Naemi Wendelin's research stands out as a notable contribution to the academic discourse on the protection of victims of human trafficking.

Graz, February 2024

ao. Univ.-Prof. Mag. Dr. Gerd Oberleitner

#### **Table of Contents**

1.	INTRODUCTION	1
2.	INTERNATIONAL LEGAL FRAMEWORK	5
	2.1. 1926 Slavery Convention and Supplementary Convention on the Abolition of Slavery, the Slave Trade, and Institutions and Practices similar to Slavery, 1956	6
	2.2. Forced Labour Convention, 1930	8
	2.3. Palermo Protocol, 2000	10
	2.4. Council of Europe Convention on Action against Trafficking	13
	2.5. EU Trafficking Directive (2011)	17
	2.6. Conclusion	19
3.	ARTICLE 4 OF THE EUROPEAN CONVENTION ON HUMAN RIGHTS	21
	3.1. Introduction to the system of the European Convention on Human Rights and the European	
	Court of Human Rights	22
	3.1.1. Interpretative principles for the European Convention on Human Rights	24

#### Table of Contents

	3.1.3. Rele Hum Hum	ppean Court of Human Rights vance of the European Convention on nan Rights and the European Court of nan Rights in the context of human	26
3.2.		icking rticle 4 of the European Convention on	27
	Human Ri	·	28
	3.2.1. Material scope of article 4 of the European		
		vention on Human Rights	30
	3.2.1	1.1. Human trafficking	30
		3.2.1.1.1. Transnationality and	
		organised crime?	33
		3.2.1.1.2. Action	34
		3.2.1.1.3. Means	36
		3.2.1.1.4. Purpose of exploitation	38
	3.2.1	L.2. Forced labour	42
	3.2.1	L.3. Servitude	43
		l.4. Slavery	44
		mitation of the concepts of article 4 of the pean Convention on Human Rights	44
2 2	Positive st	ate obligations under article 4 of the	
٥.٥.		Convention on Human Rights	47
	•	ory of positive and negative state	.,
		gations	49
	•	gations gation for legislative and administrative	43
		nework	51
		2.1. Criminalisation	51
		2.2. Requirements for wider legal and	71
	3.3.2	administrative framework	56
	3.3.3. Ohli	gation for protective operational	50
	measures		58
		3.1. Prerequisite of knowledge of real and	
	2.3.5	immediate risk	59
	3.3.3	3.2. Prerequisite of reasonability	63

#### **Table of Contents**

	3.3.3.3. Victim identification	64
	3.3.3.4. Victim assistance measures	66
	3.3.3.5. Non-punishment of victims	67
	3.3.4. Obligation for effective investigation and	
	prosecution	69
	3.3.4.1. Triggering investigation obligation	70
	3.3.4.2. Criteria for effective investigation	73
	3.4. Conclusion	76
4.	AUSTRIAN IMPLEMENTATION OF POSITIVE	
	OBLIGATIONS	79
	4.1. Human trafficking situation in Austria	80
	4.2. Criminal legal framework of Austria	84
	4.3. Victim protective measures	87
	4.4. Investigations in practice	89
	4.5. Conclusion	90
5.	Conclusion	93
6.	References	99

#### **Abbreviations**

Ed Editor
Edn Edition

Eg For example

EU European Union

CC Austrian Criminal Code

CoE Council of Europe

ECHR European Convention on Human Rights

ECtHR European Court of Human Rights

EU European Union

FPG Fremdenpolizeigesetz (Austrian Aliens Police Act)

GRETA Group of Experts on Action against Trafficking in Human Beings

ILO International Labour Organization

NGO Non-governmental organisation

Para Paragraph

UK United Kingdom
UN United Nations

VCLT Vienna Convention on the Law of Treaties

VOL Volume